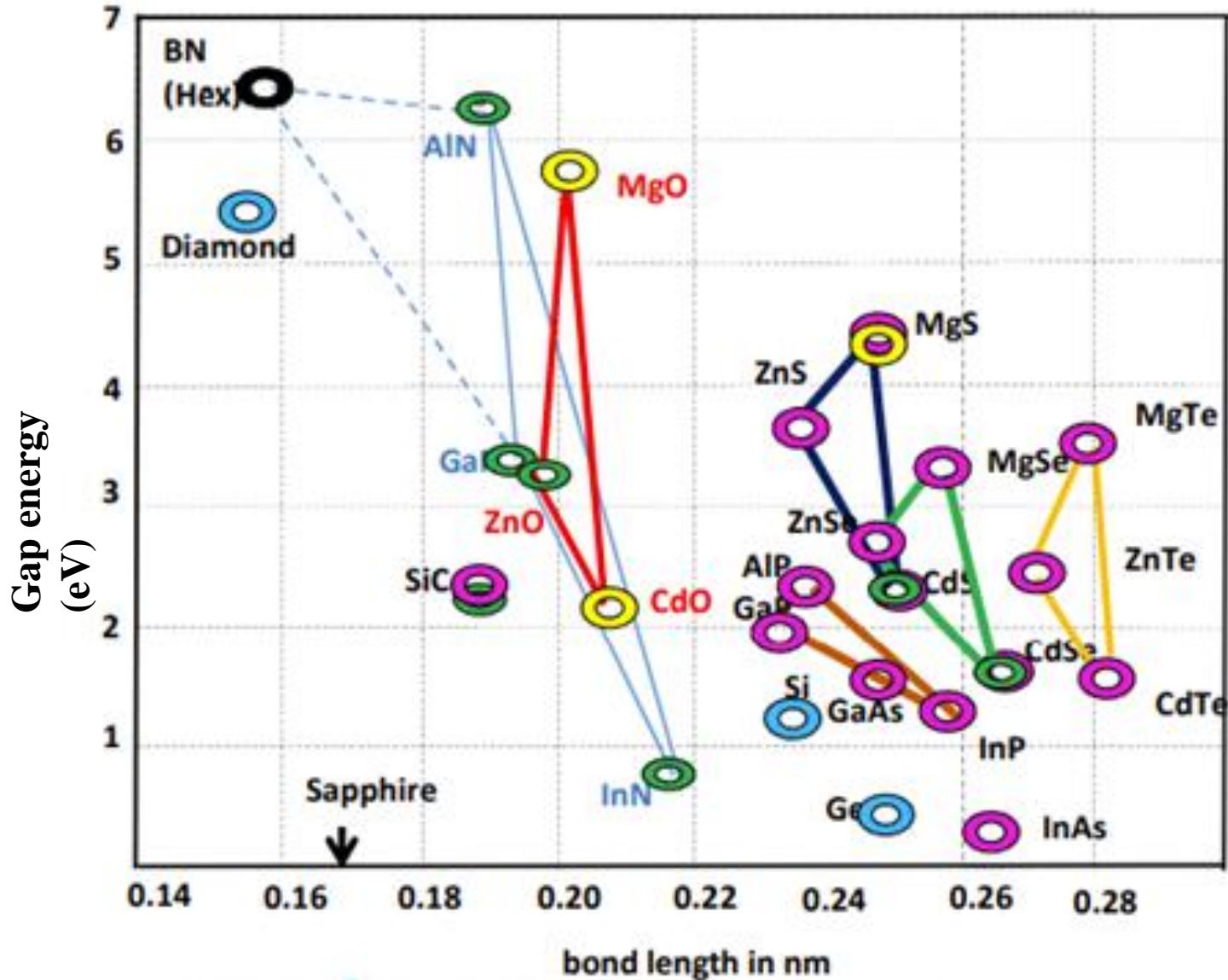


# Nitrides and other semiconductors

**Jean-Yves DUBOZ**  
**CRHEA CNRS**  
**Valbonne, France**





Main industrial  
semiconductors

- |          |       |
|----------|-------|
| 1-Si     | IV    |
| 2-Ge     |       |
| 3-SiC    | III-V |
| 4-GaN    |       |
| 4-GaAs   |       |
| 5-InP    |       |
| 6-InAs   |       |
| 7-GaSb   |       |
| 8-CdTe   |       |
| 9-HgCdTe |       |

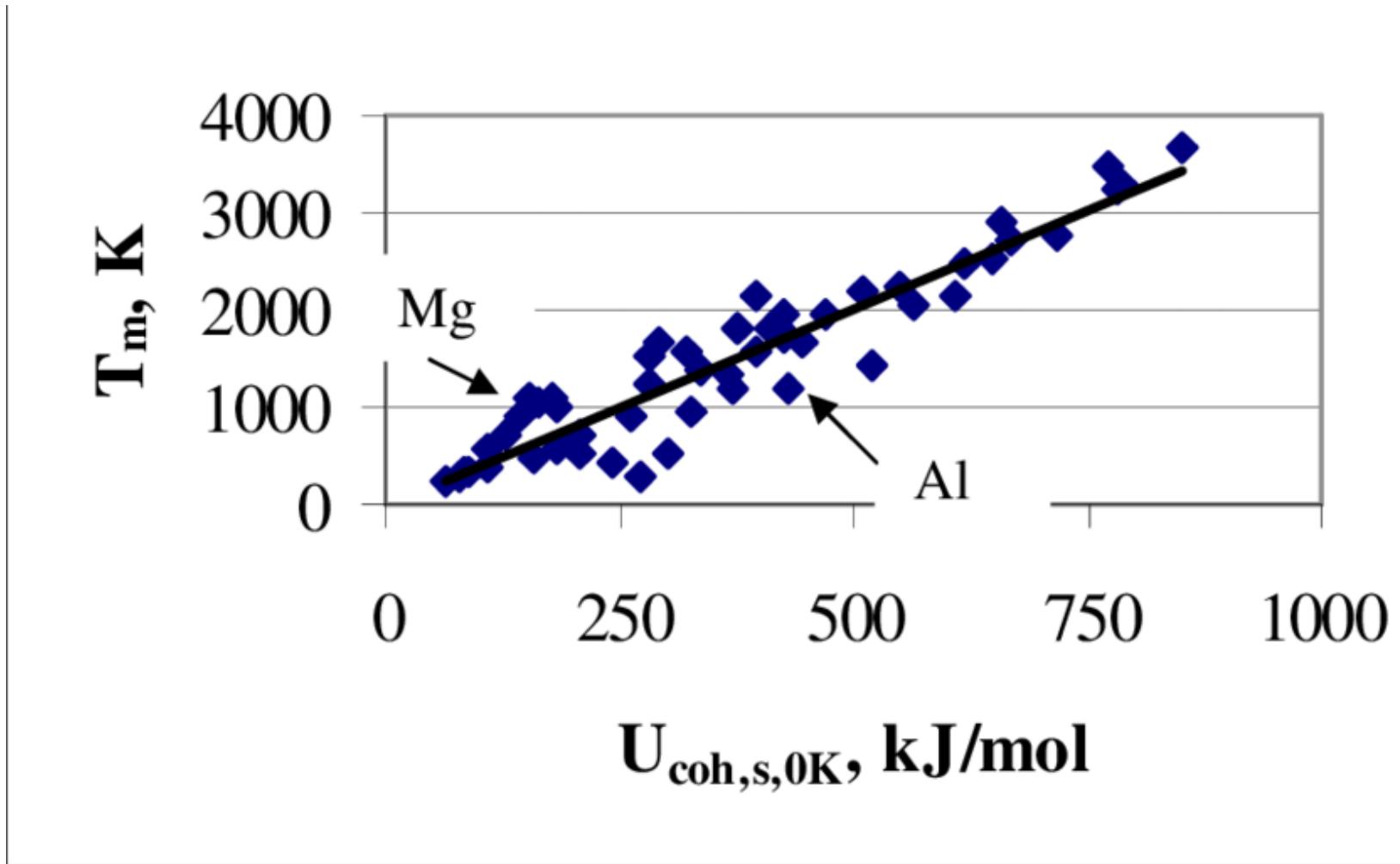
	GaN	AlN	InN	GaAs	Si	Diamond
Bond length (Å)	1.95	1.90	2.15	2.44	2.34	1.54
Bond energy (eV)	8.9*	11.5	7.7	6.5	4.7	7.4
Cohesive energy (eV/atom)	2.24	2.88	1.93	1.63	2.32	4.62
Melting point (K)	2791	3487	2146	1513	1687	3770

\* About 10 meV less for the cubic phase: less stable from thermodynamics

Thermal stability  
Chemical inertness  
Radiation hardness



# Melting temperature and cohesive energy



# Ionic/covalent bond

Bond character depends  
on atom electronegativity

For instance for group V elements :

$X_N >> X_P >> X_{As} >> X_{Sb}$

Bonds in nitrides are more  
ionic than in many other SC

How does the validity of  
tight binding methods vary  
along this table ?

	Ionicity
Si	0
C (diamond)	0
AlAs	0.274
AlP	0.307
GaAs	0.310
GaP	0.327
InAs	0.357
InP	0.421
<b>AlN</b>	<b>0.449</b>
<b>GaN</b>	<b>0.500</b>
<b>InN</b>	<b>0.558</b>
NaCl	0.9

# Polarization in heterostructures

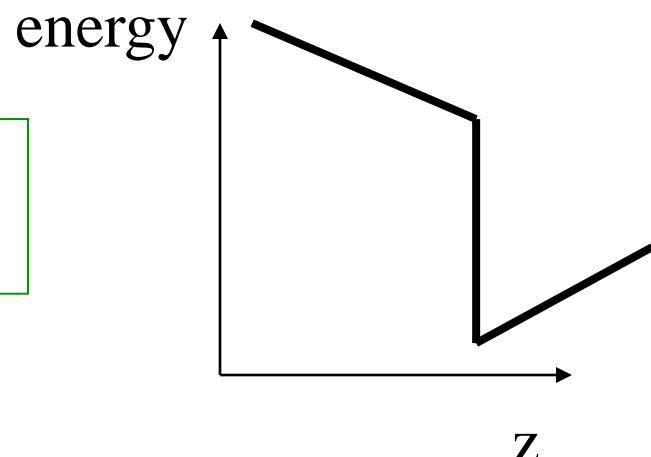
What is important is the differences in polarization between two materials (the absolute value may even not be defined (C. van de Waal)

$$E(z) = -\frac{1}{\epsilon_0 \epsilon_r} P(z)$$

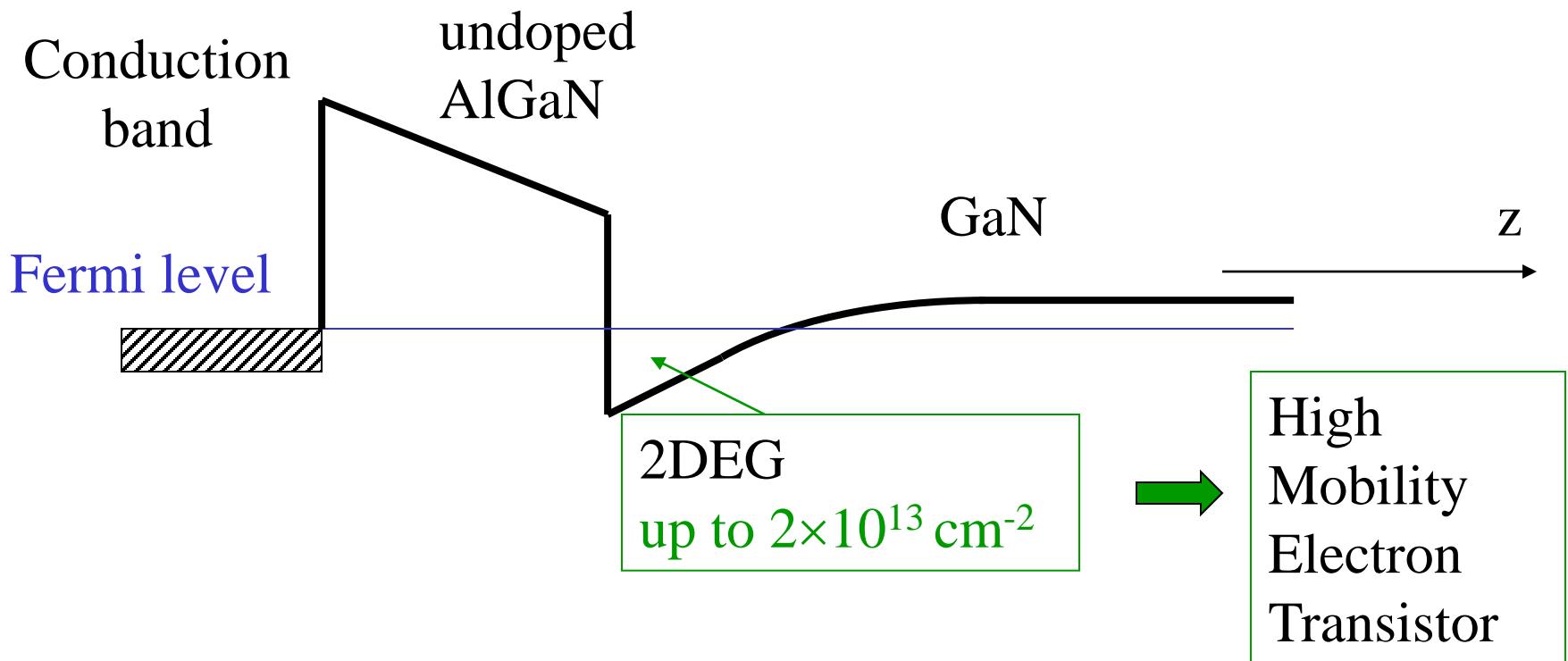
$$\Delta P = P_{AlN} - P_{GaN} \approx 0.05\text{-}0.1 \text{ C/m}^2$$

$$\Delta E = E_{AlN} - E_{GaN} \approx \Delta P / \epsilon_0 \epsilon_r \approx 6\text{-}12 \text{ MV/cm}$$

Enormous internal field discontinuity at the interface !

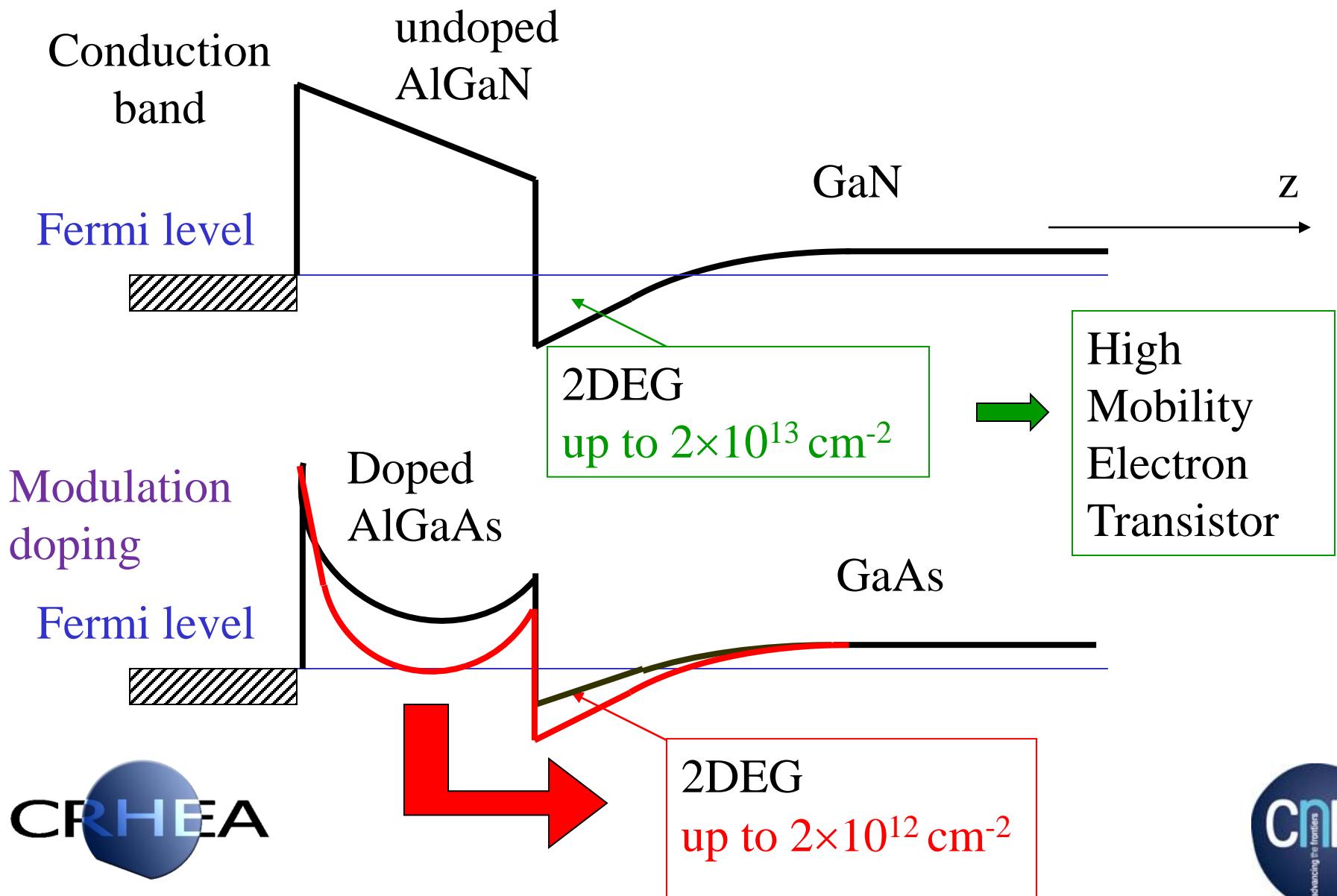


# Polarization in wurzite nitrides



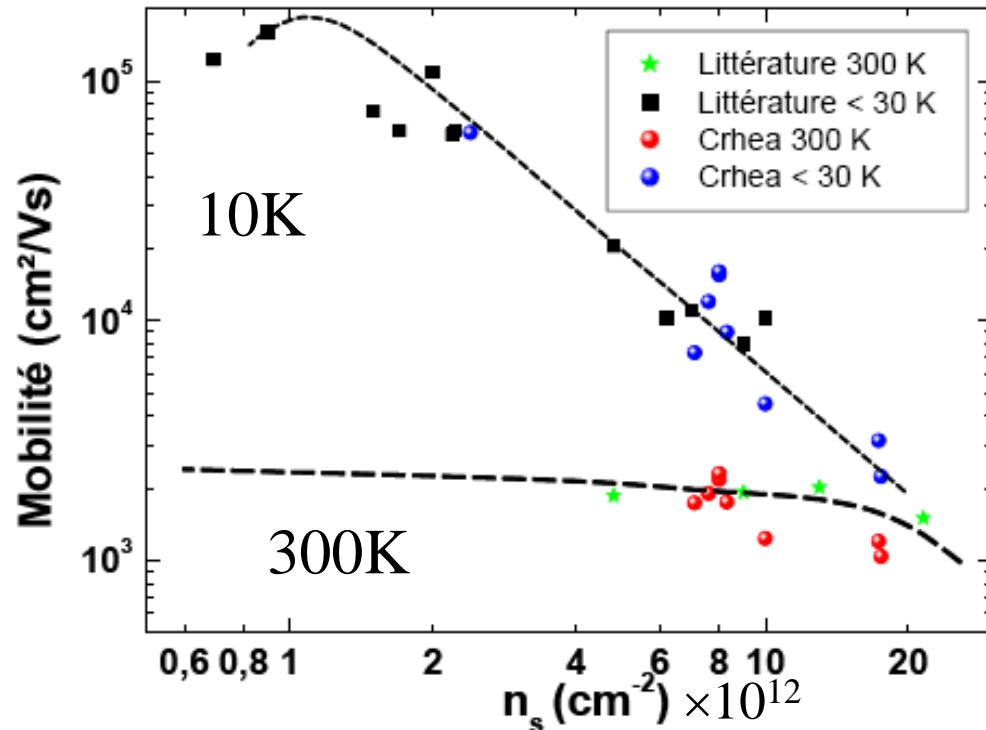
Can you get 2D gases without polarization field ?

# Polarization in wurzite nitrides



# Best of - GaN 2DEG

	$n_s$ ( $10^{12} \text{cm}^{-2}$ )
GaN/AlGaN	36
GaN/AlInN	42
GaAs/AlGaAs	2
InGaAs/AlInAs	8.5

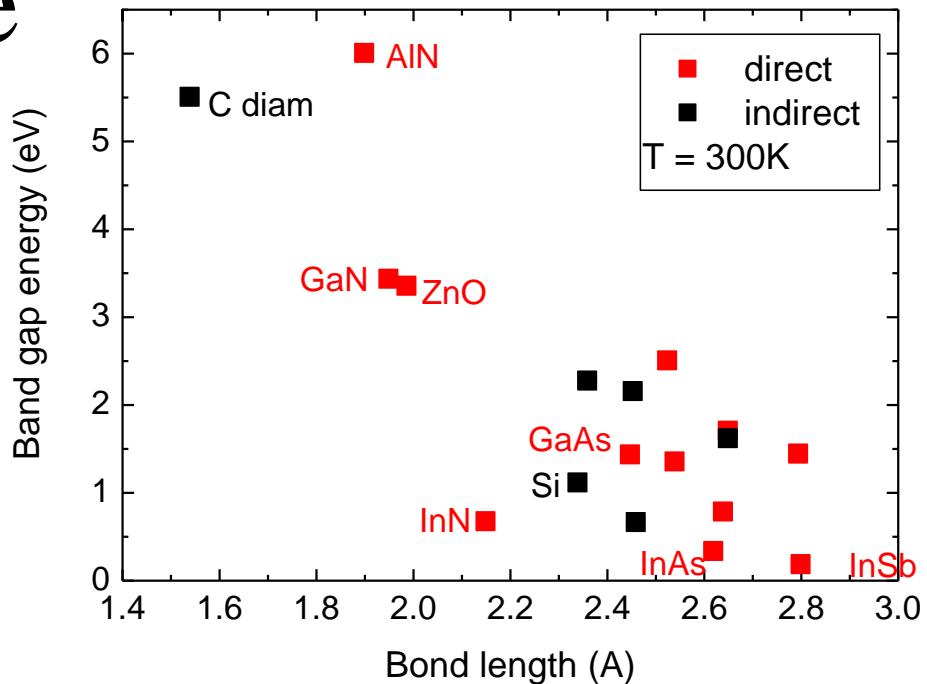


	$\mu(300\text{K})$ $\text{cm}^2/\text{Vs}$	$n_s(300\text{K})$ $\text{cm}^{-2}$	$\mu(<4\text{K})$ $\text{cm}^2/\text{Vs}$	$n_s(<4\text{K})$ $\text{cm}^{-2}$
GaN/AlGaN	2500	$4-6 \times 10^{12}$	$10^5$	$2 \times 10^{12}$
GaAs/AlGaAs	10000	$2 \times 10^{11}$	$3 \times 10^7$	$2 \times 10^{11}$

Why is  $\mu$  smaller in GaN than in GaAs ?

# Band structure

Small atoms, short bonds:  
⇒ large band gap energy

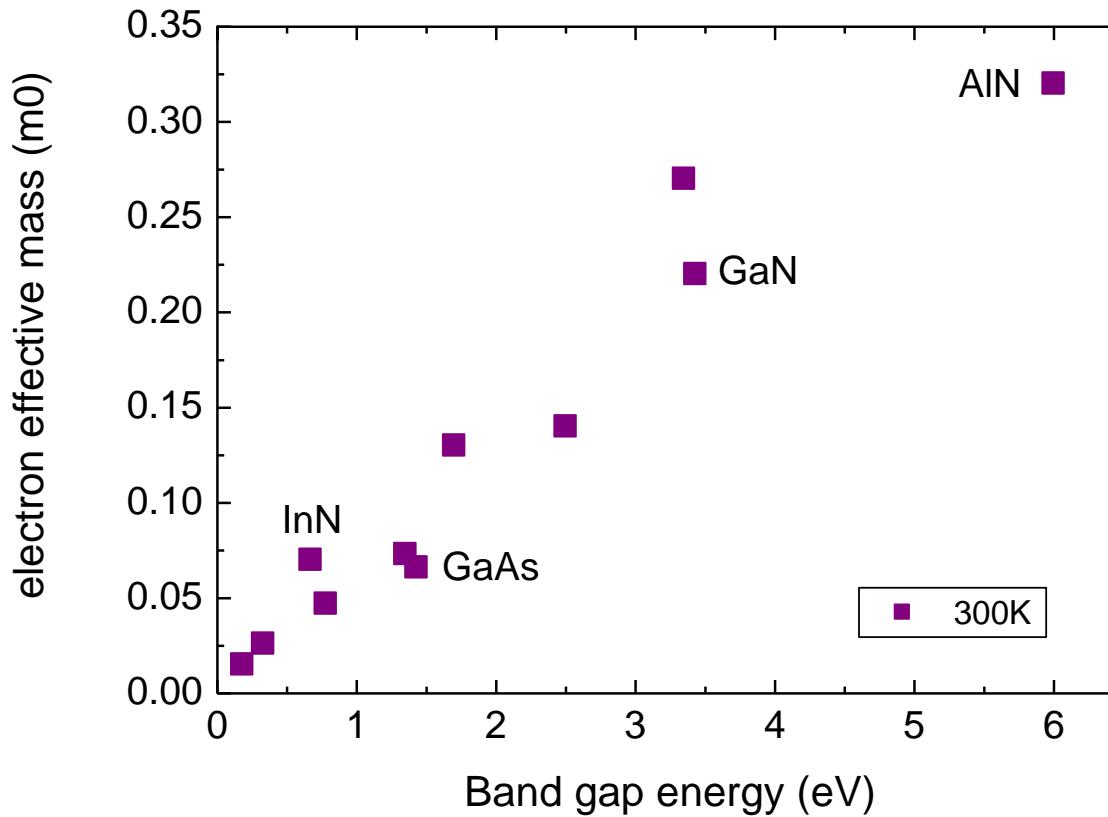


Material	c-GaN	w-GaN	AlN	InN
Band gap (300K)	3.3 eV	3.43 eV	6.0 eV	0.67 eV

All direct gap materials ! Optoelectronics from IR to UV IR:  
(InN:1.85 μm) , visible (InGaN) , to UV (AlN:0.206 μm).

# Band structure

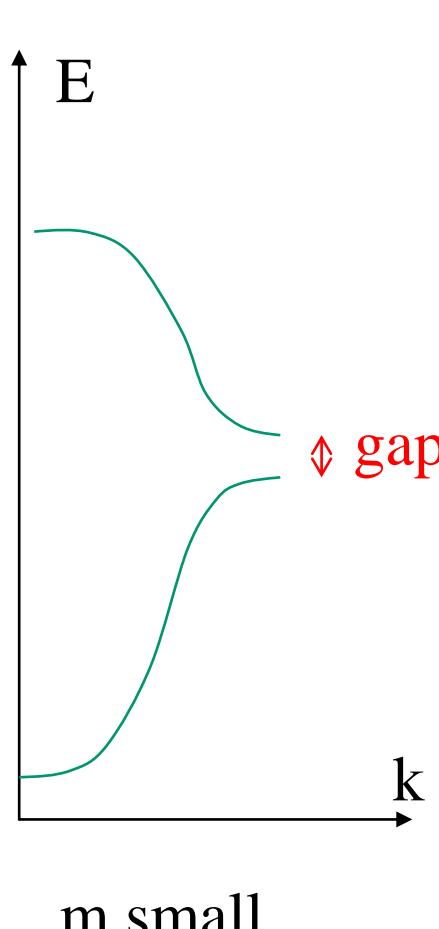
Electron effective mass: follows the  $m \propto E_g$  rule



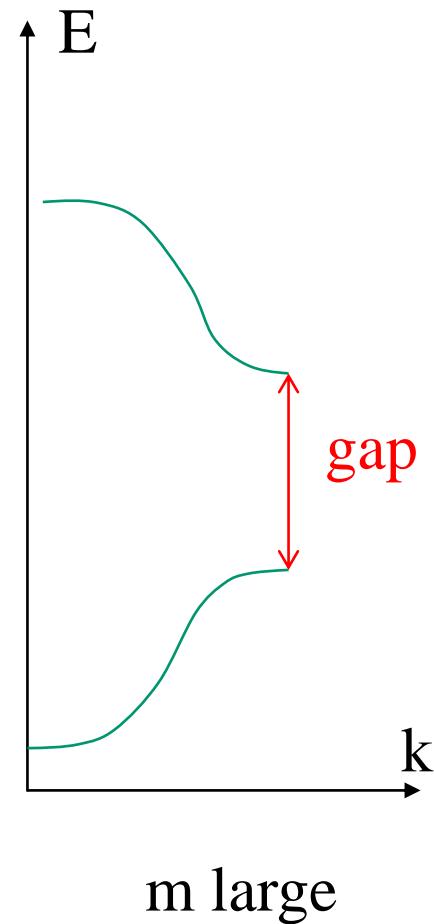
Large effective masses in nitrides

Mobilities smaller than in other III-vs

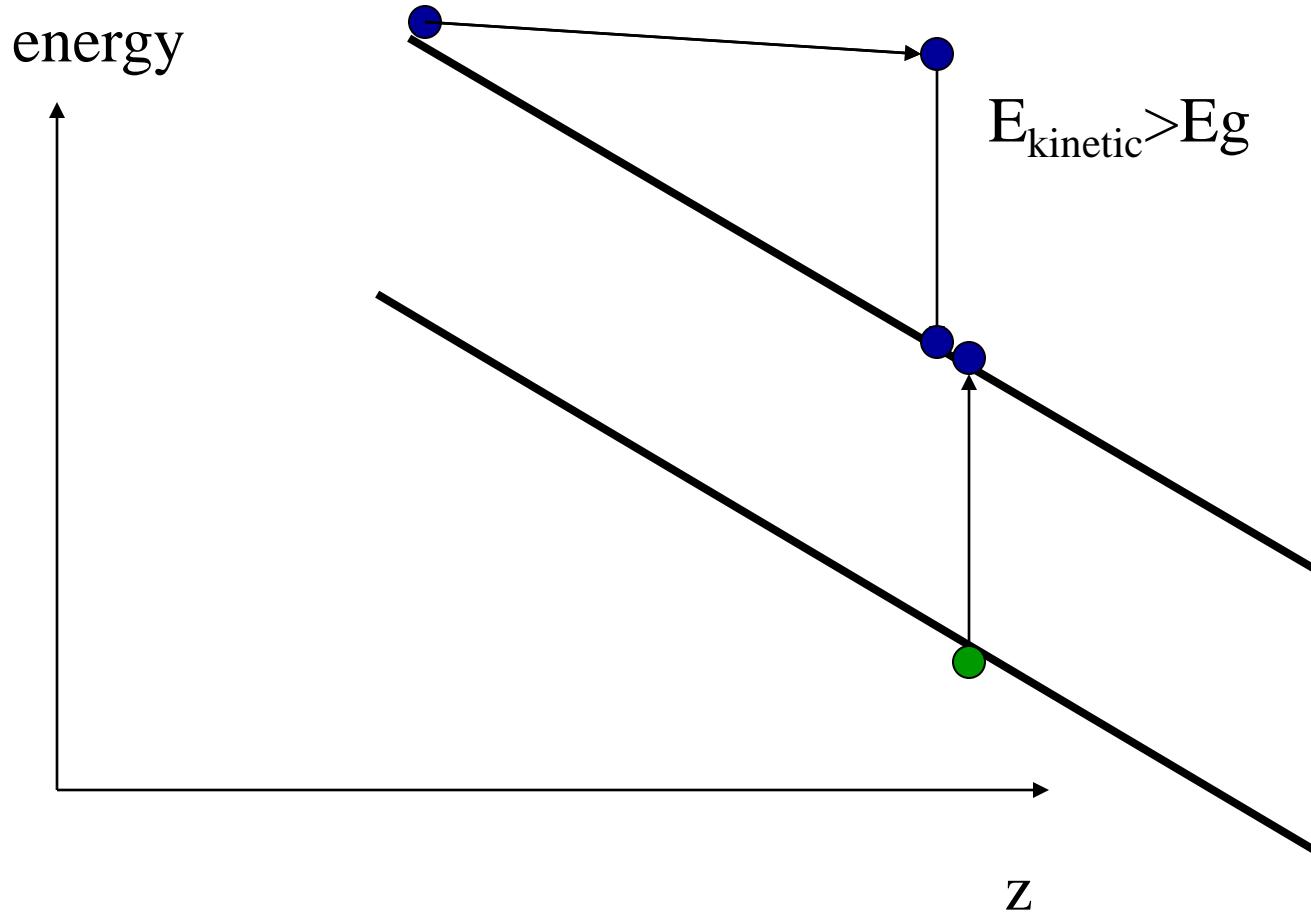
# Effective mass versus band gap



$$\frac{1}{m} = \frac{\partial^2 E}{\partial k^2}$$

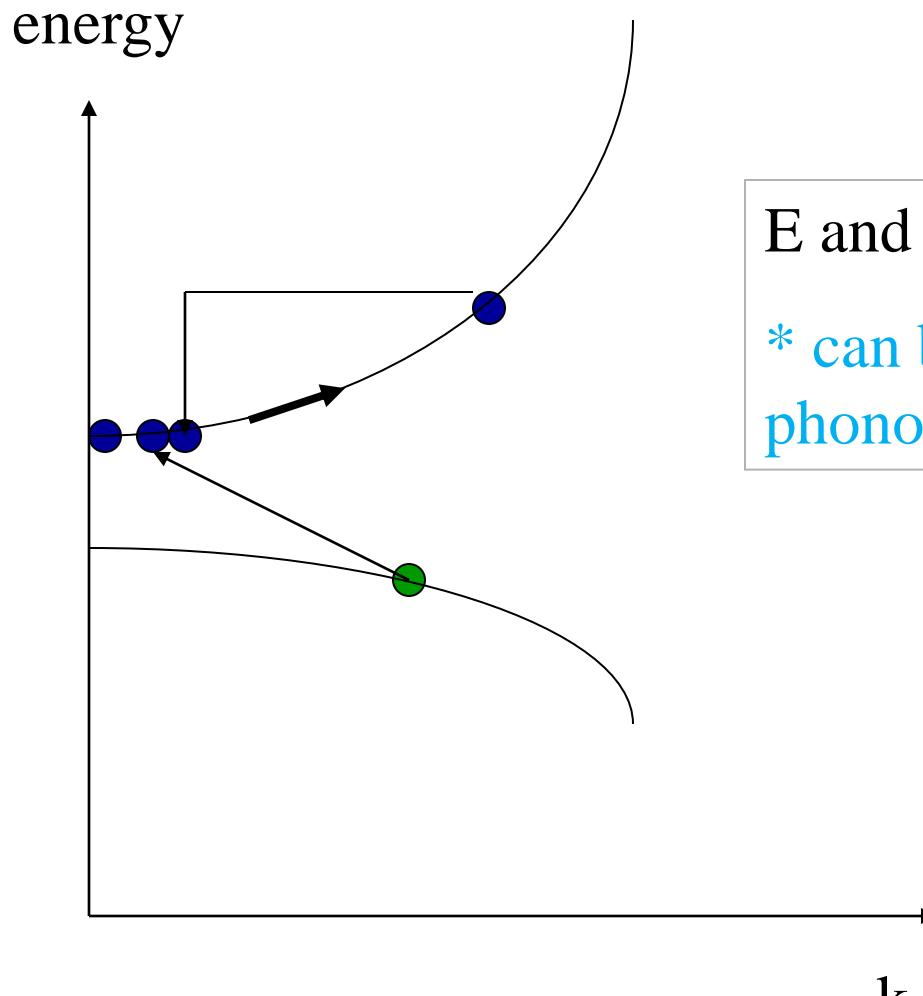


# Impact ionisation



On so on... avalanche breakdown  
(requires a minimal thickness L so  
that  $\alpha L=1!!$ )

# Impact ionisation

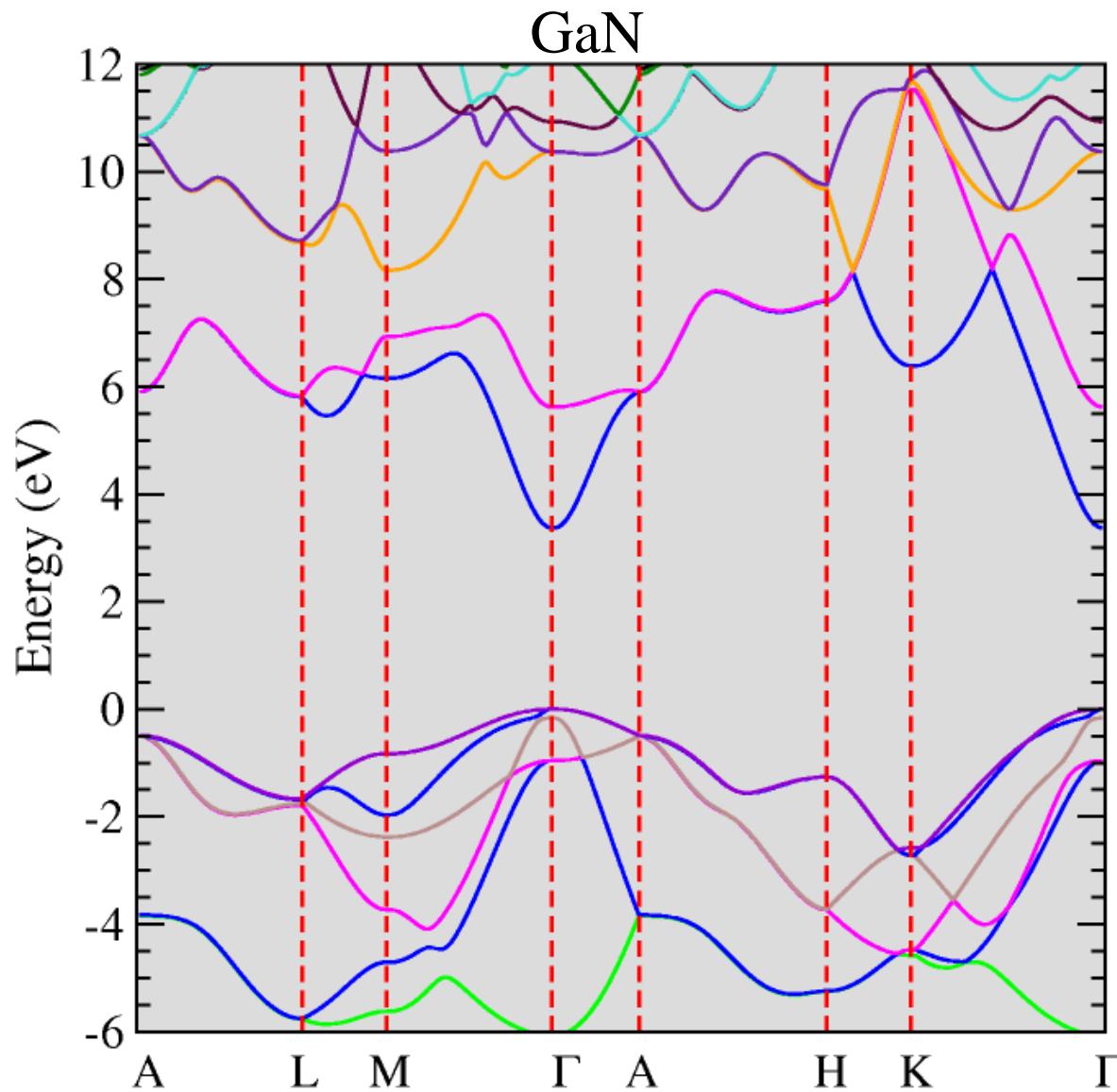


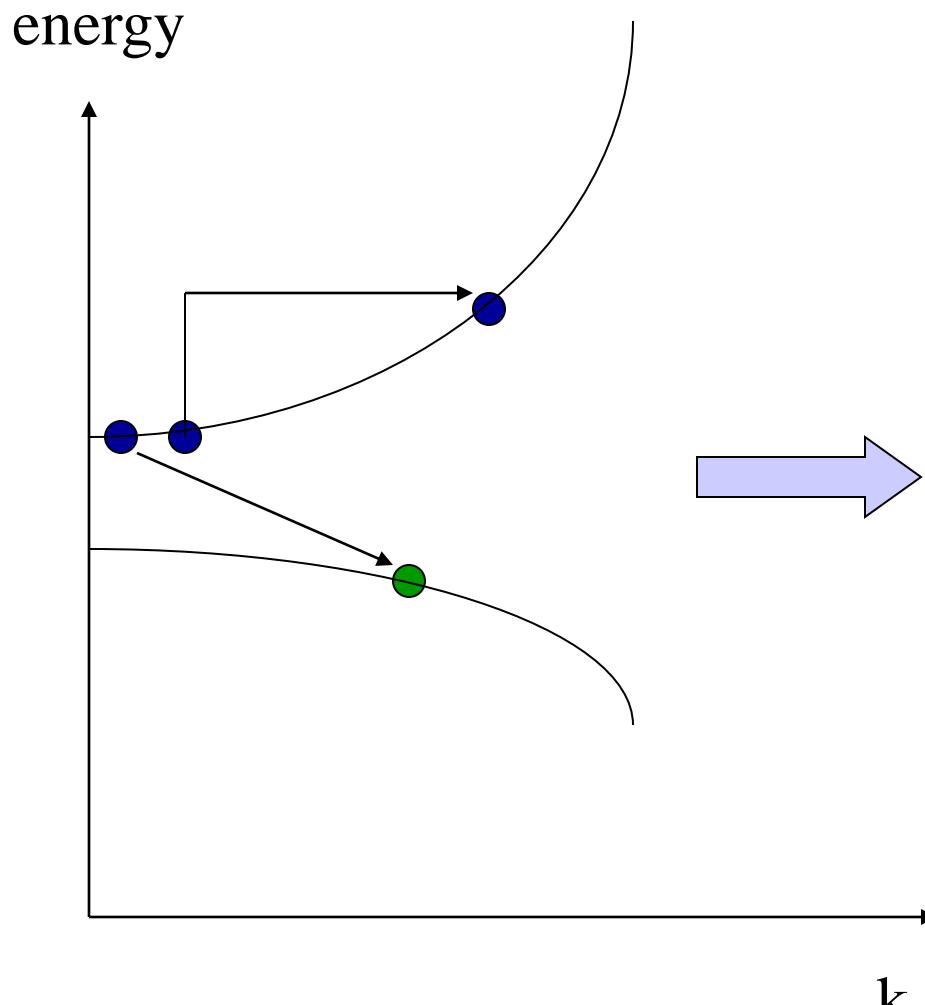
E and  $k$  conservation\*

\* can be relaxed if  
phonon assisted

# Impact ionisation

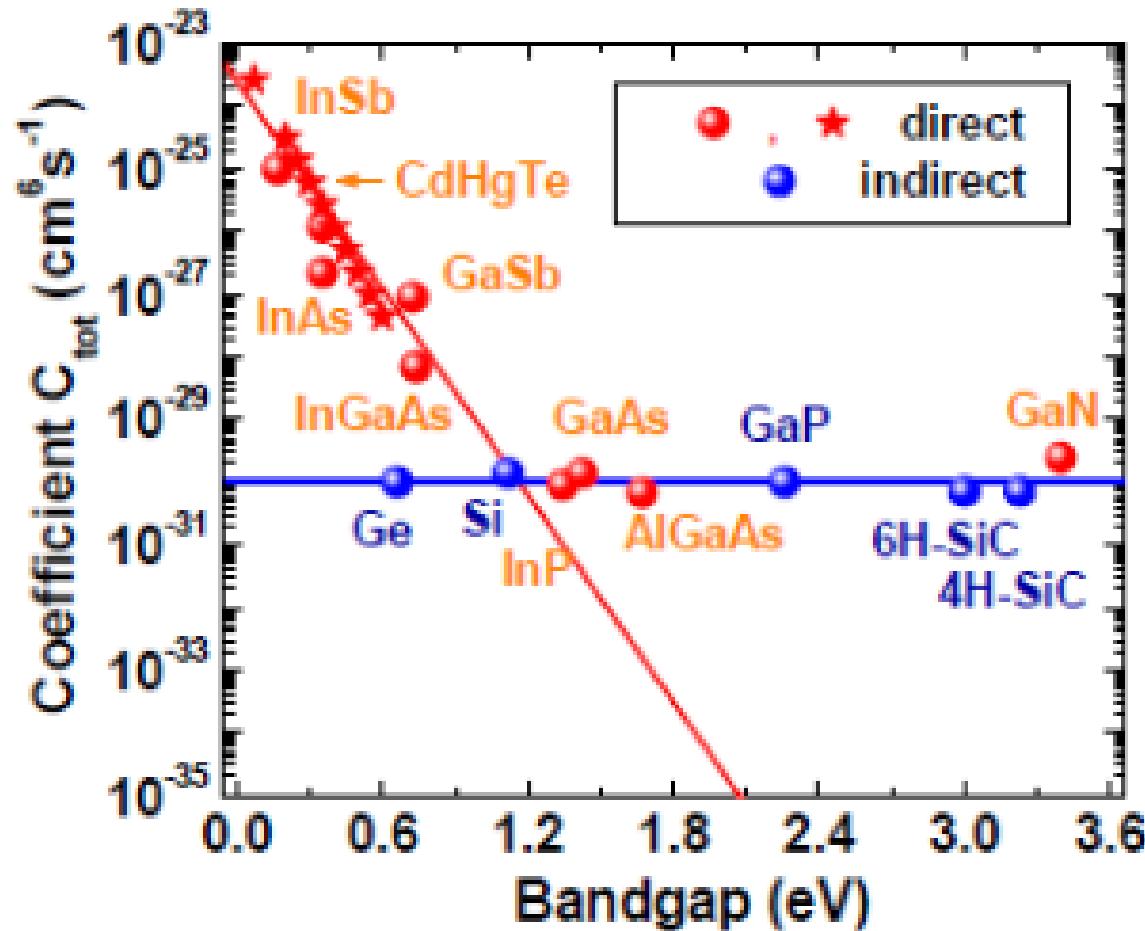
Not so easy to obey E and k conservation:  
ionization is weaker in wider band gap materials





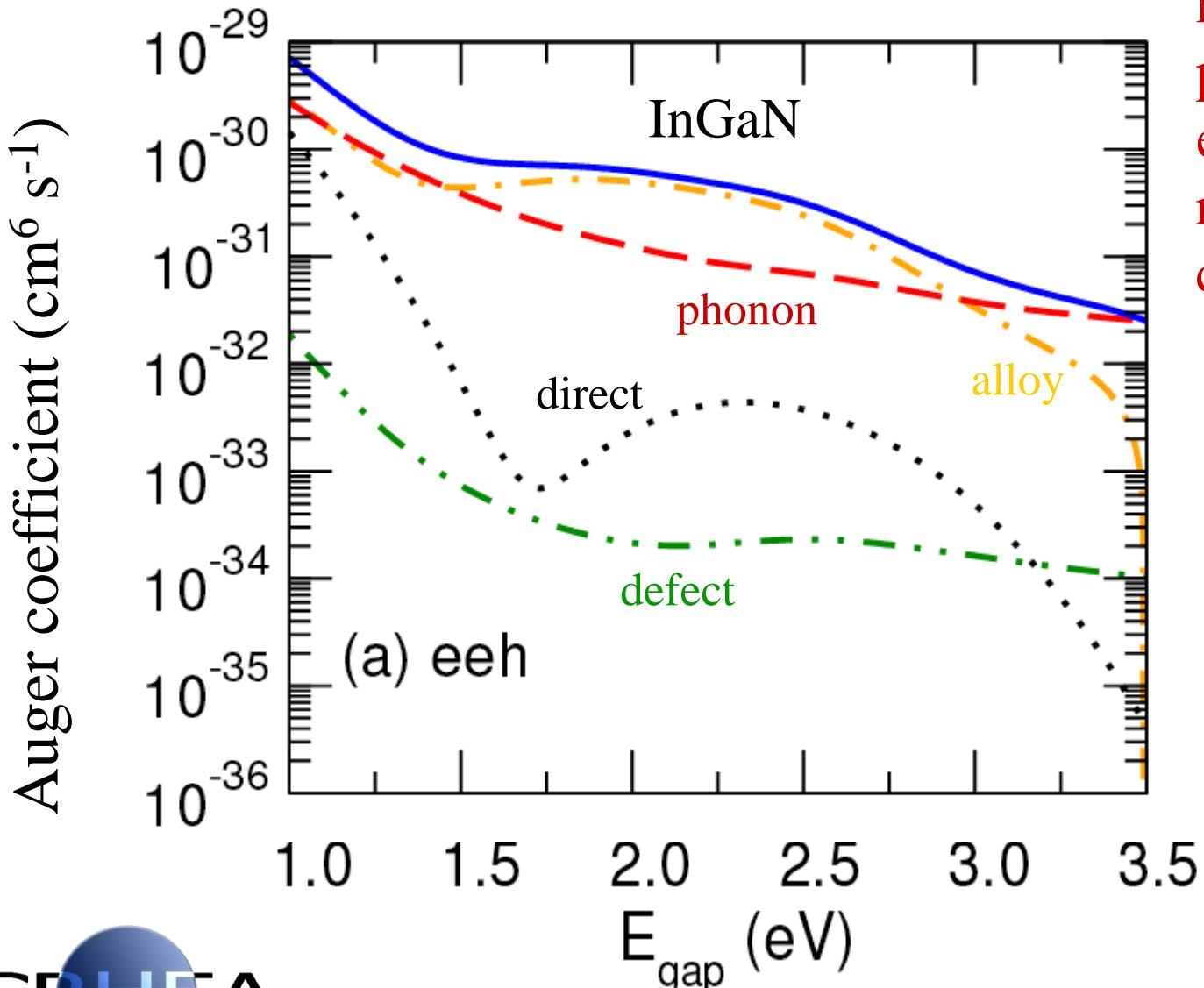
Auger =  
inverse  
process of  
impact  
ionization

# Auger



The Auger coefficient does not decrease with band gap above 1.4 eV (wide band gap are similar to normal band gap materials. Why?)

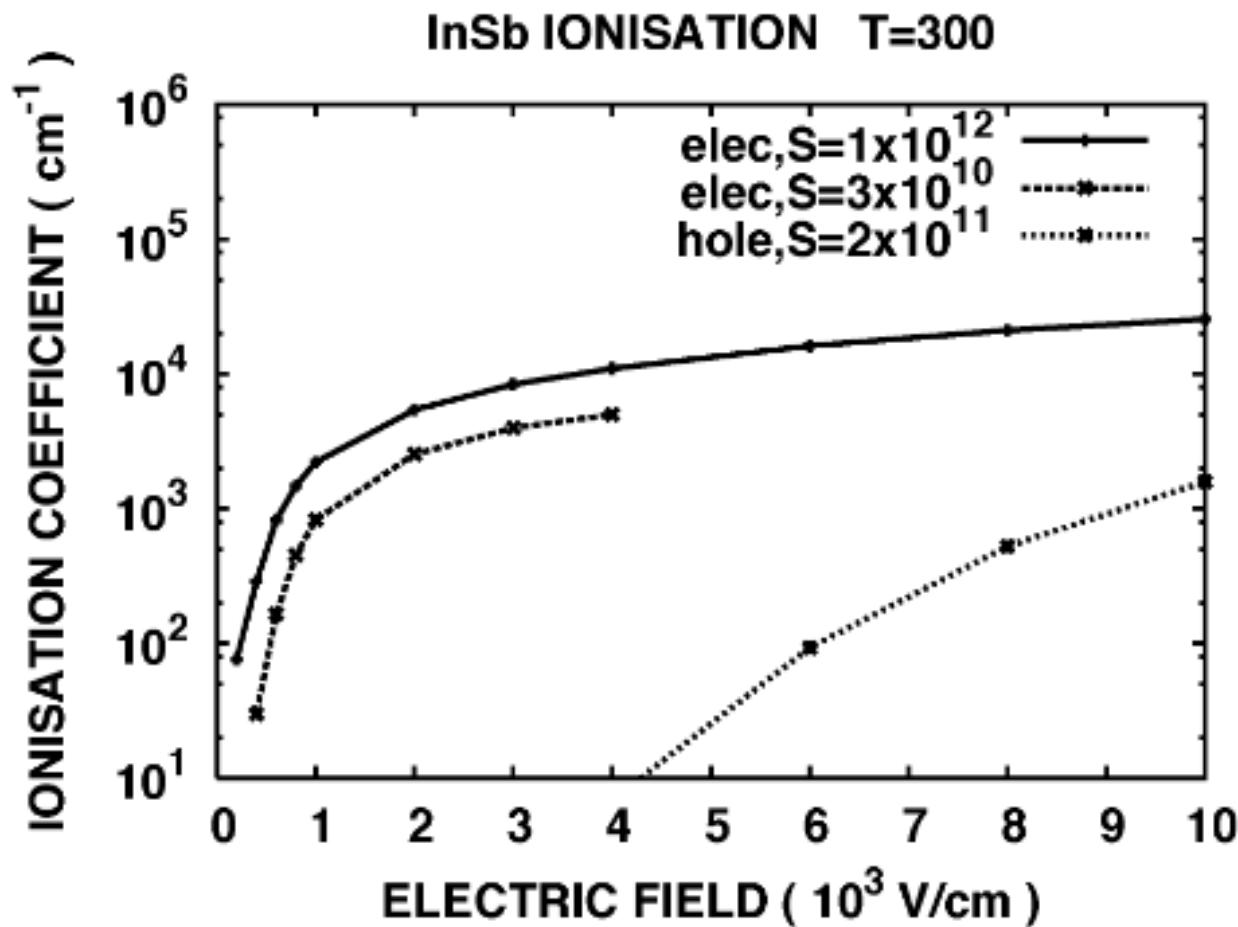
# Auger



Extrinsic Auger mediated by phonons, etc... which relaxes the E-k conservation rule

# Ionisation coefficient

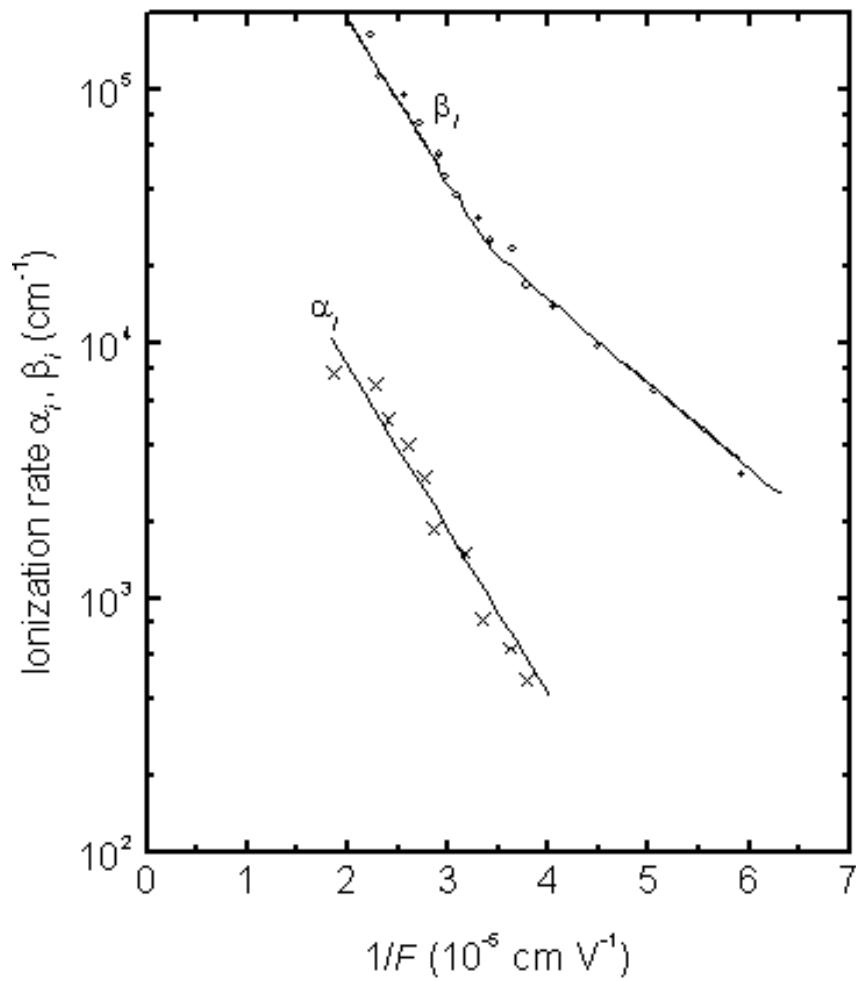
InSb  $E_g=0.17$  eV



# Ionisation coefficient

InAs

$E_g=0.35$  eV

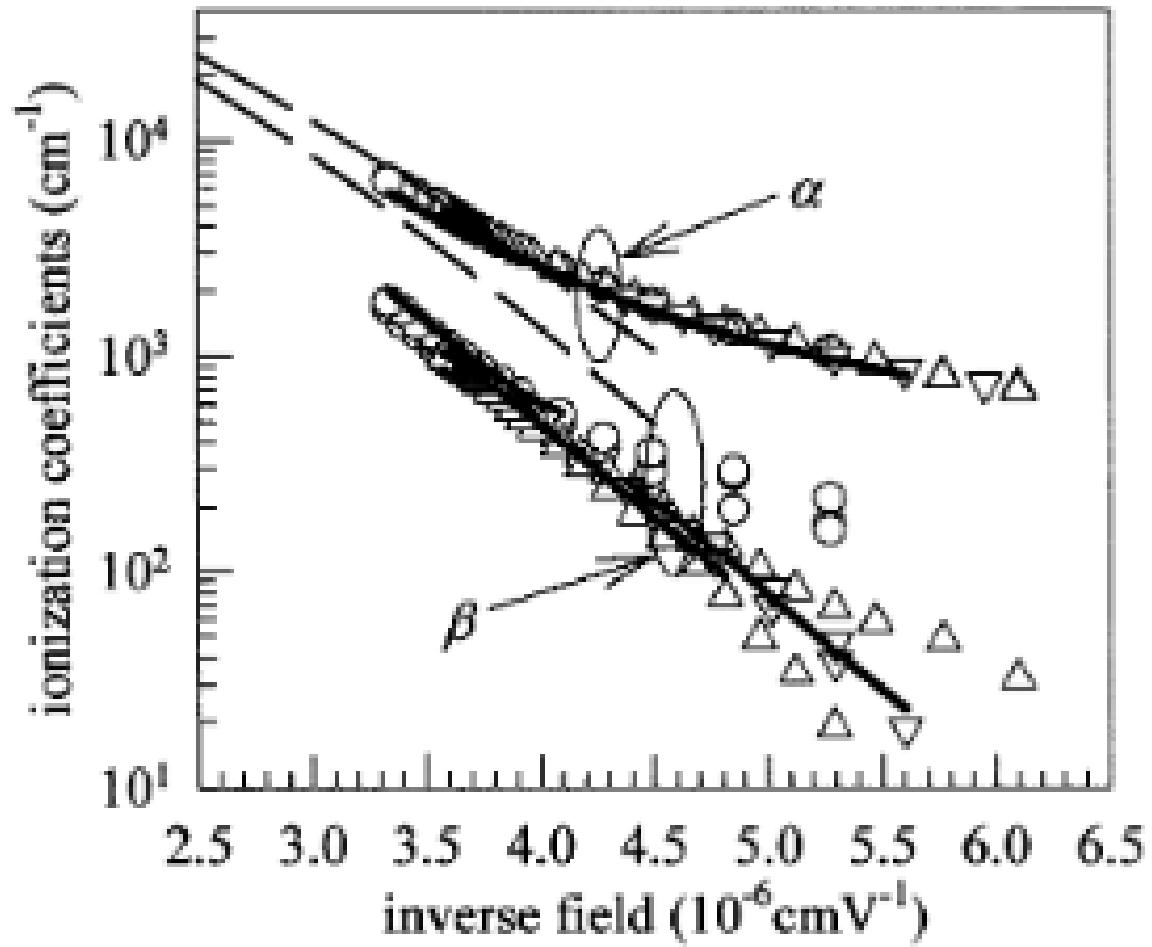


# Ionisation coefficient

$\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$

$E_g=0.8 \text{ eV}$

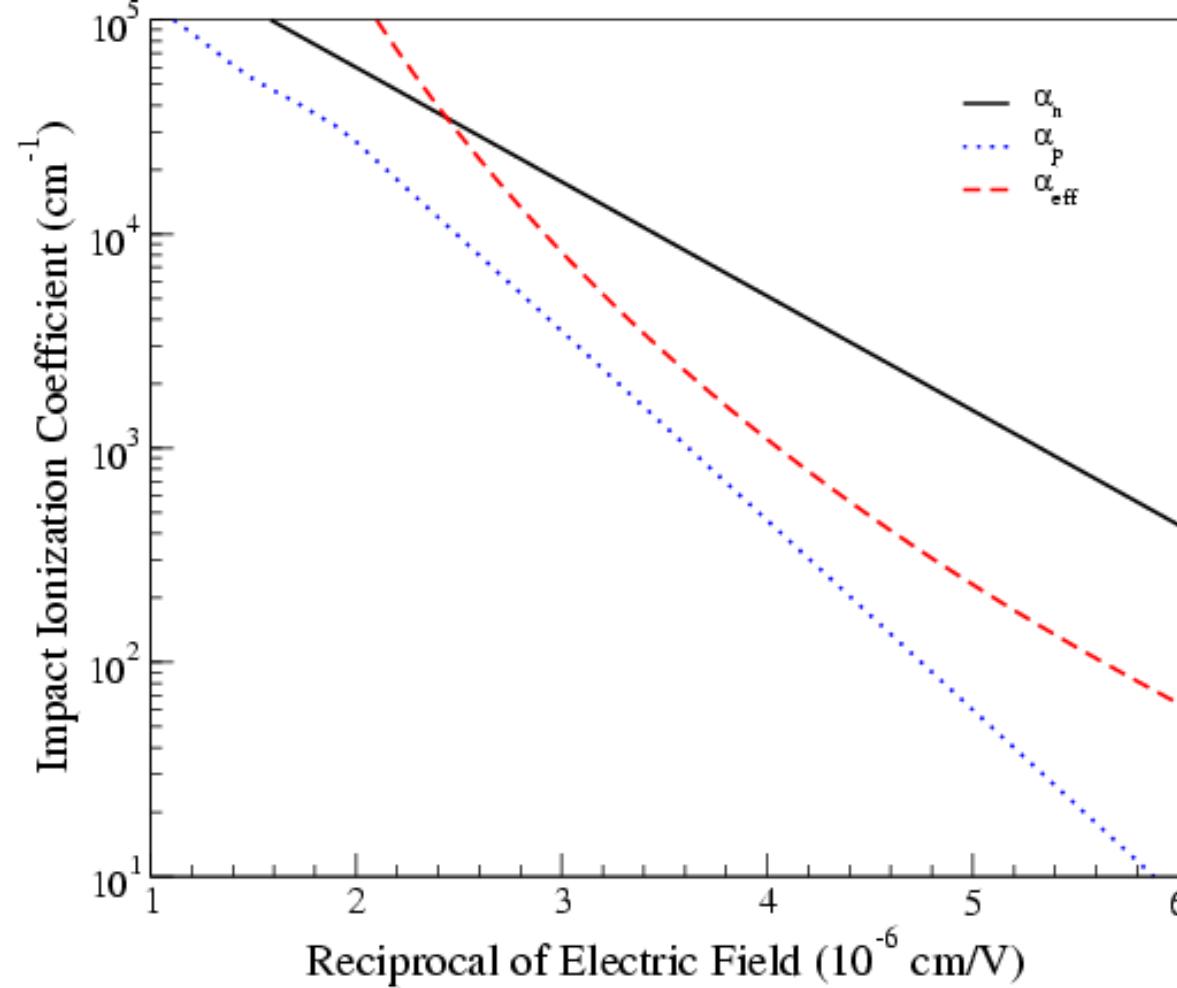
S. Ng et al, Field Dependence  
of Impact Ionization Coefficients  
in  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$ . J. S. Ng  
IEEE TRANSACTIONS ON  
ELECTRON DEVICES, VOL.  
50, NO. 4, APRIL 2003



# Ionisation coefficient

Si

$E_g=1.11\text{ eV}$

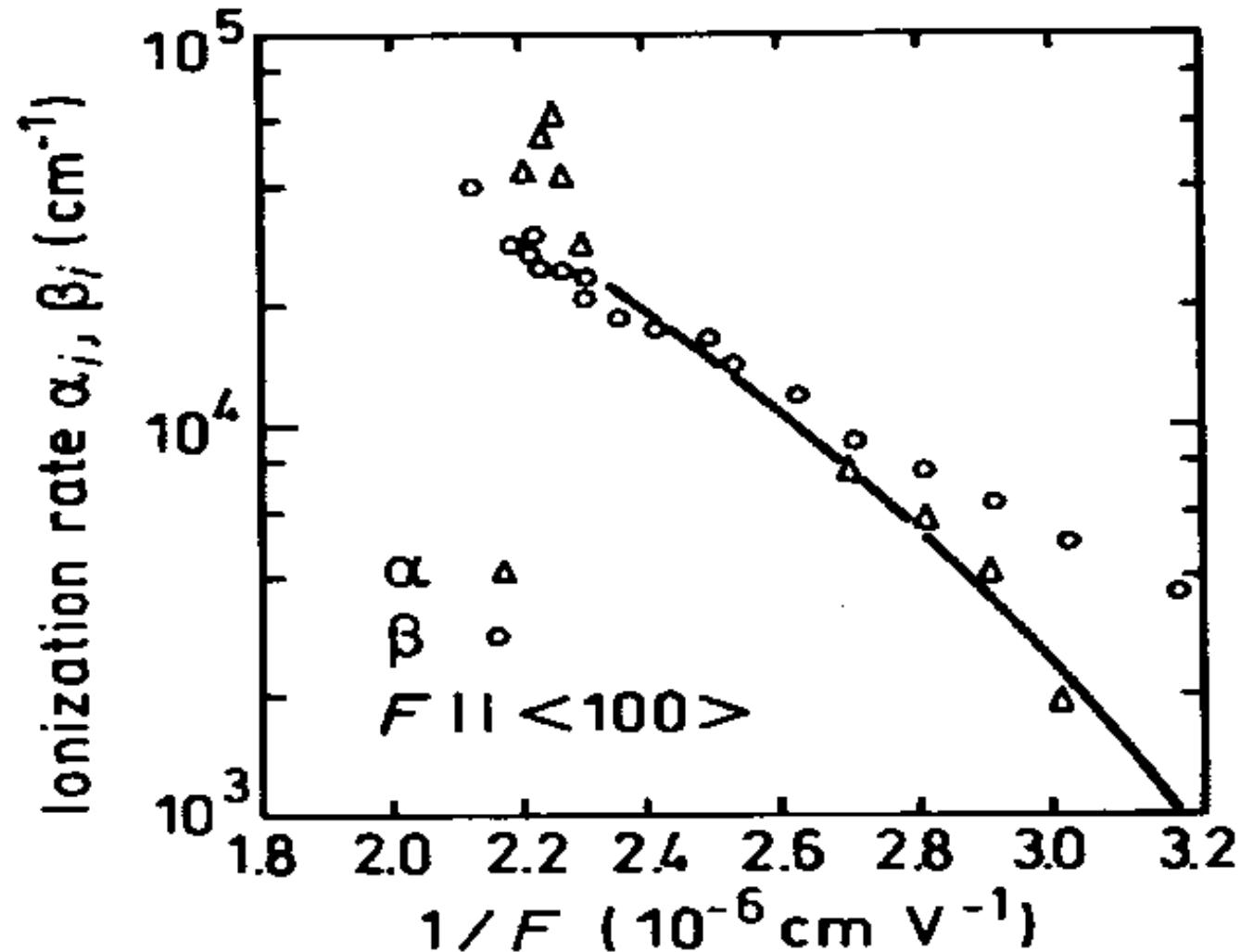


# Ionisation coefficient

GaAs

$E_g = 1.41 \text{ eV}$

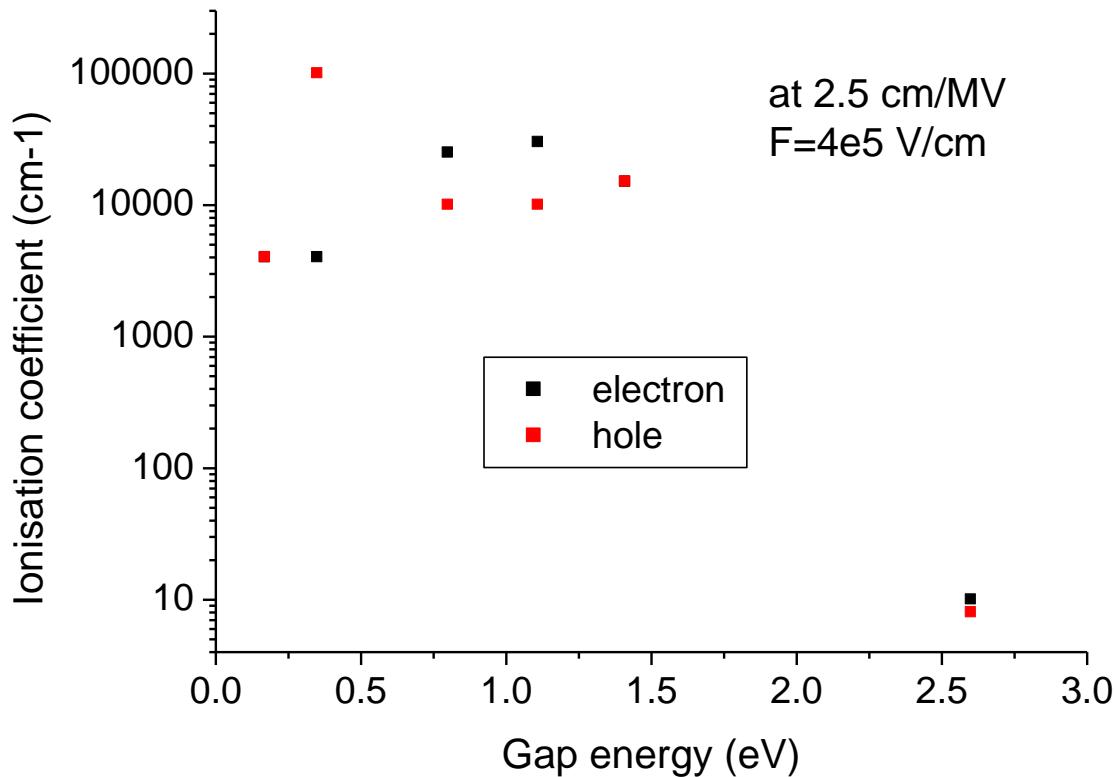
Pearsall, T. P., F.  
Capasso, R. E.  
Nahory, M. A. Pallack,  
and J. Chelikowsky,  
*Solid State Electron.*  
**21**, 1 (1978) 297-302



# Ionisation coefficient

At high field, all material have similar ionisation coefficients (saturation)

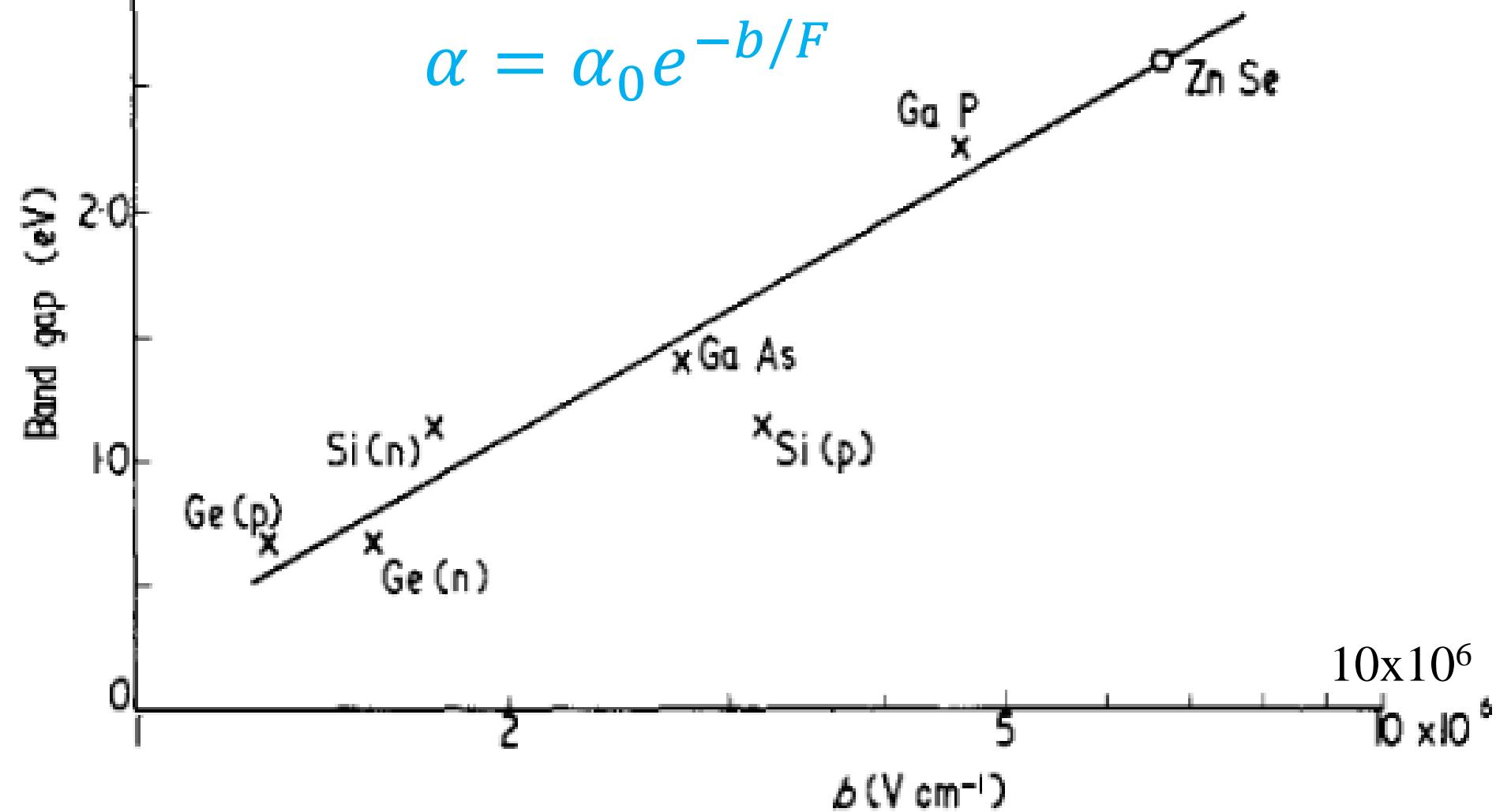
Only ZnSe is not saturated at  $4\text{e}5\text{V/cm}$



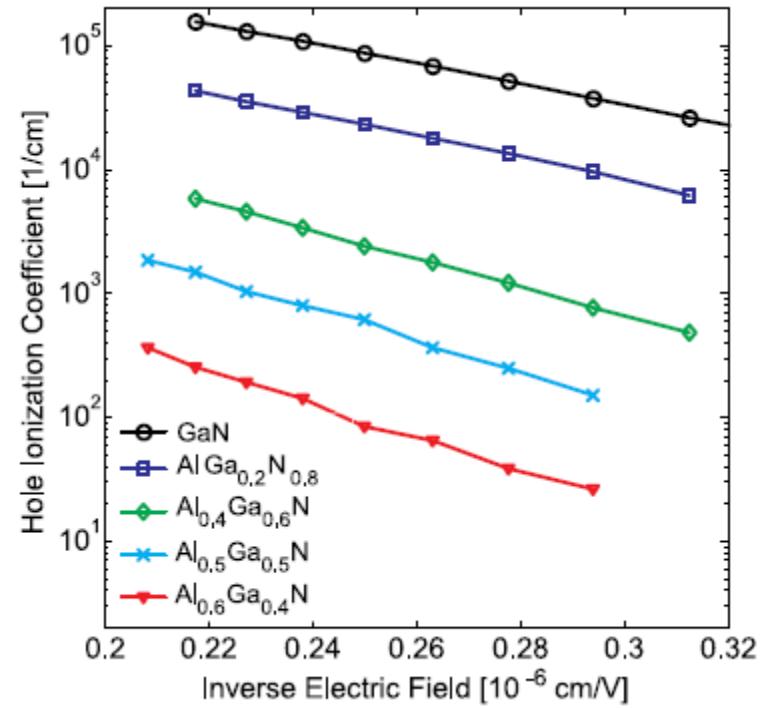
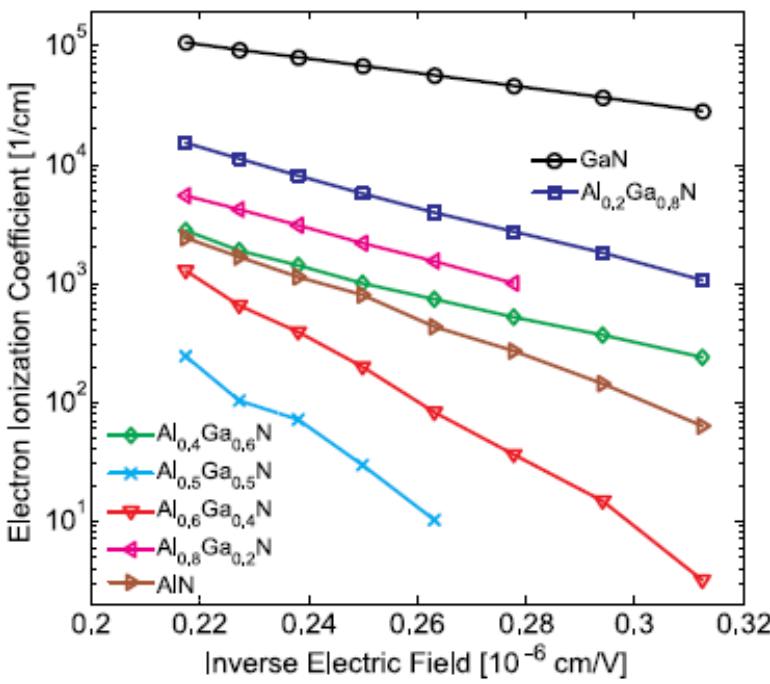
What is more interesting is the dependence on  $F$

# Ionisation coefficient

$$\alpha = \alpha_0 e^{-b/F}$$



# Ionisation coefficient

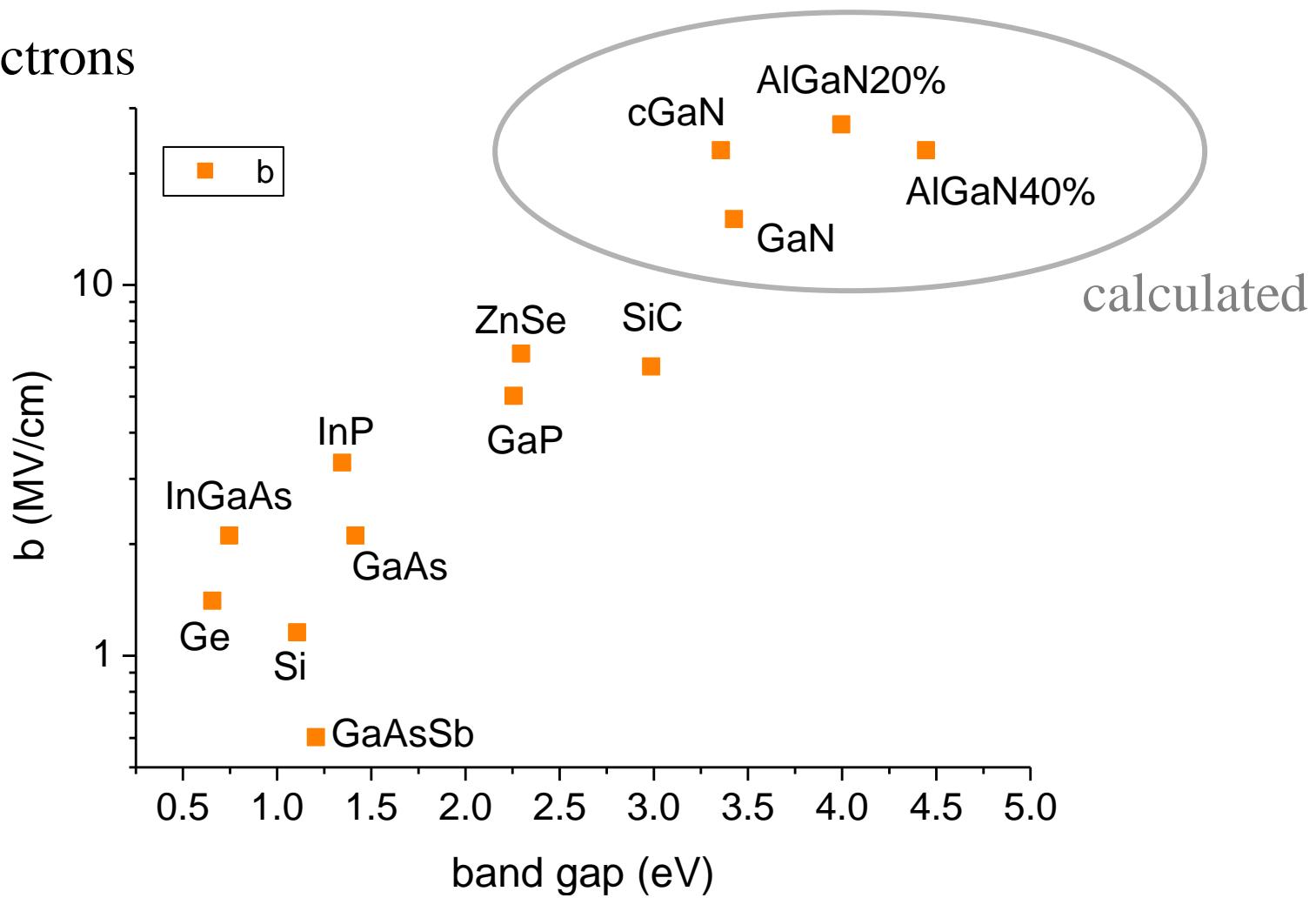


Calculated ionisation rates very weak in nitrides

Phonon assisted ionization not included (may be important in the k conservation; also phonon interaction strong in nitrides)

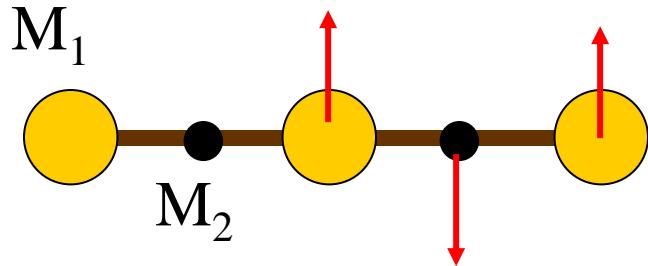
# Ionisation coefficient

For electrons

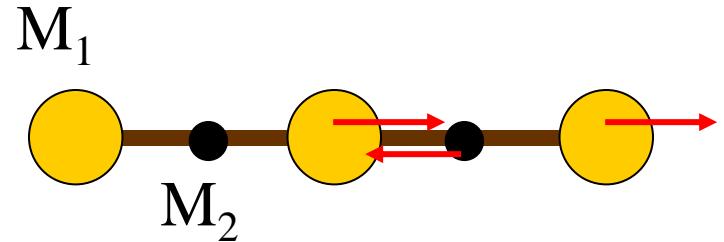


All materials reach similar ionization coefficients, but not for the same fields ! Nitrides have larger breakdown fields than many other SCs

# Phonons



TO- Transverse optic

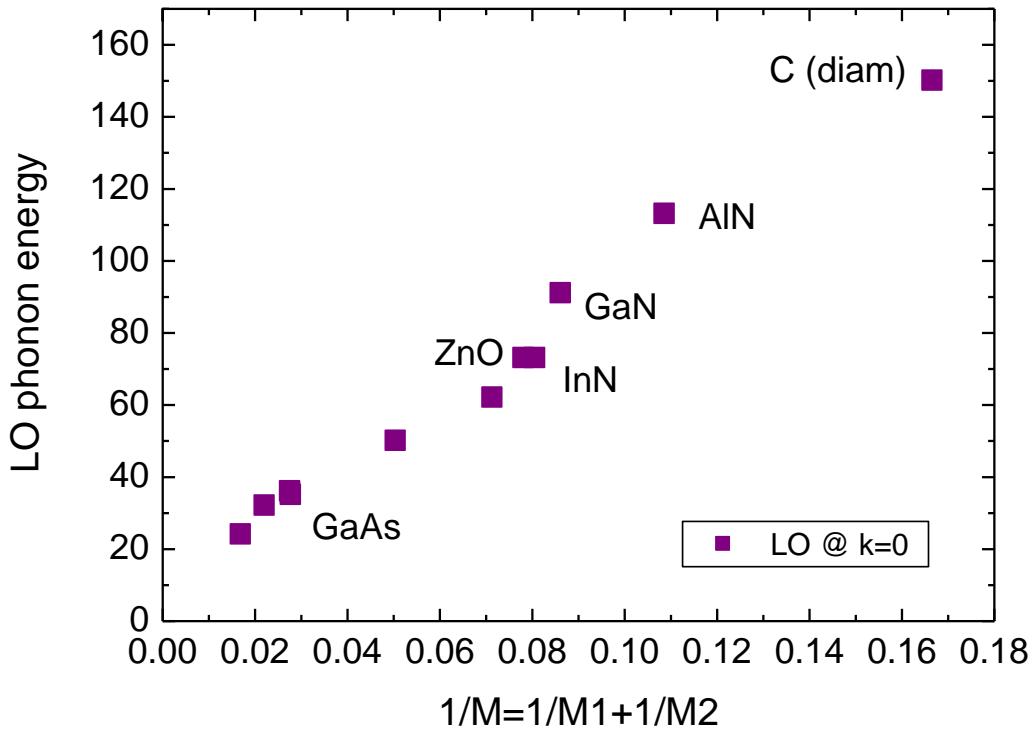


LO- Longitudinal optic

Simple model:

$$\omega^2 = \frac{2C}{M}$$

$$\frac{1}{M} = \frac{1}{M_1} + \frac{1}{M_2}$$

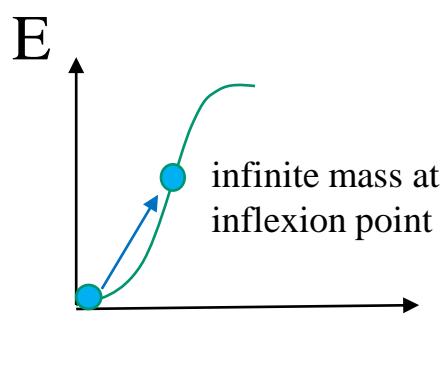


$M$  is small in nitrides  $\Rightarrow \omega$  is large  
 $E_{\text{LO}}=91 \text{ meV}, E_{\text{TO}}=70 \text{ meV}$  in GaN

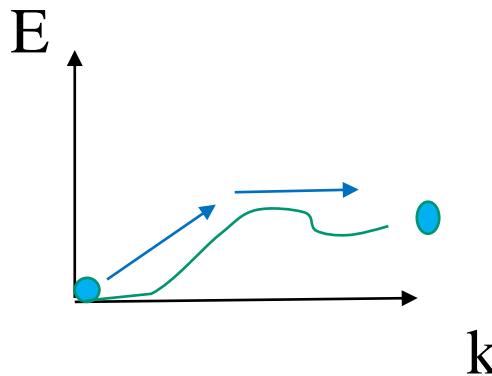
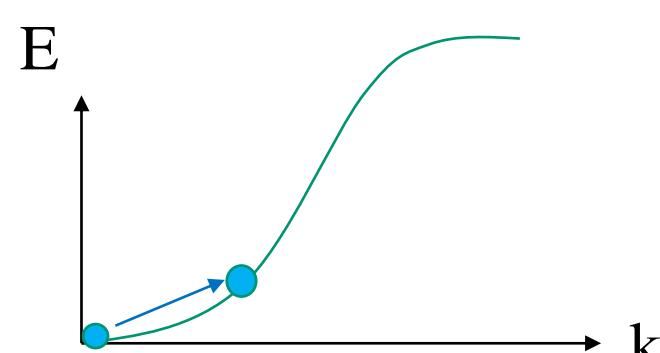
# Saturation velocities

$$\hbar dk = F dt$$

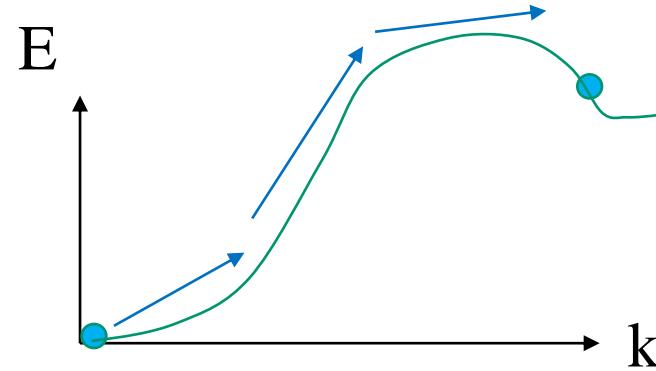
$$v_g = \frac{1}{\hbar} \nabla_k E$$



Non  
parabolicity,  
band  
curvature



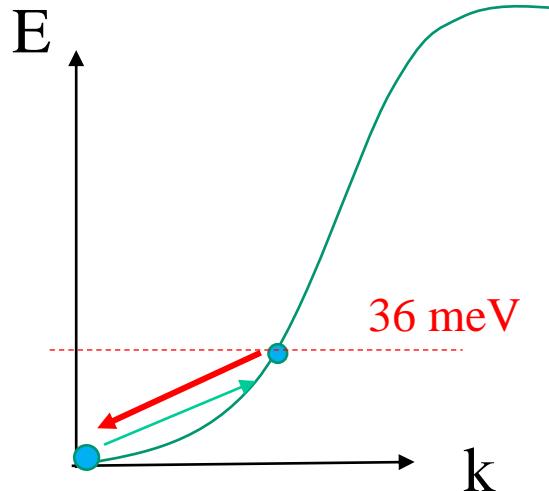
valley  
transfer



# Saturation velocities

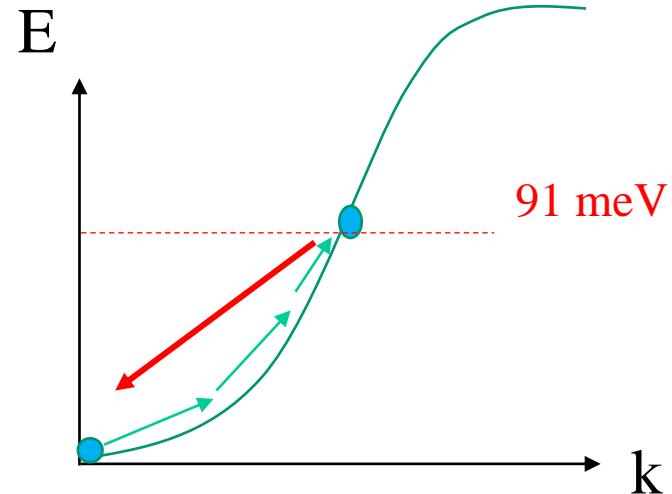
$$\hbar d\mathbf{k} = F dt$$

GaAs

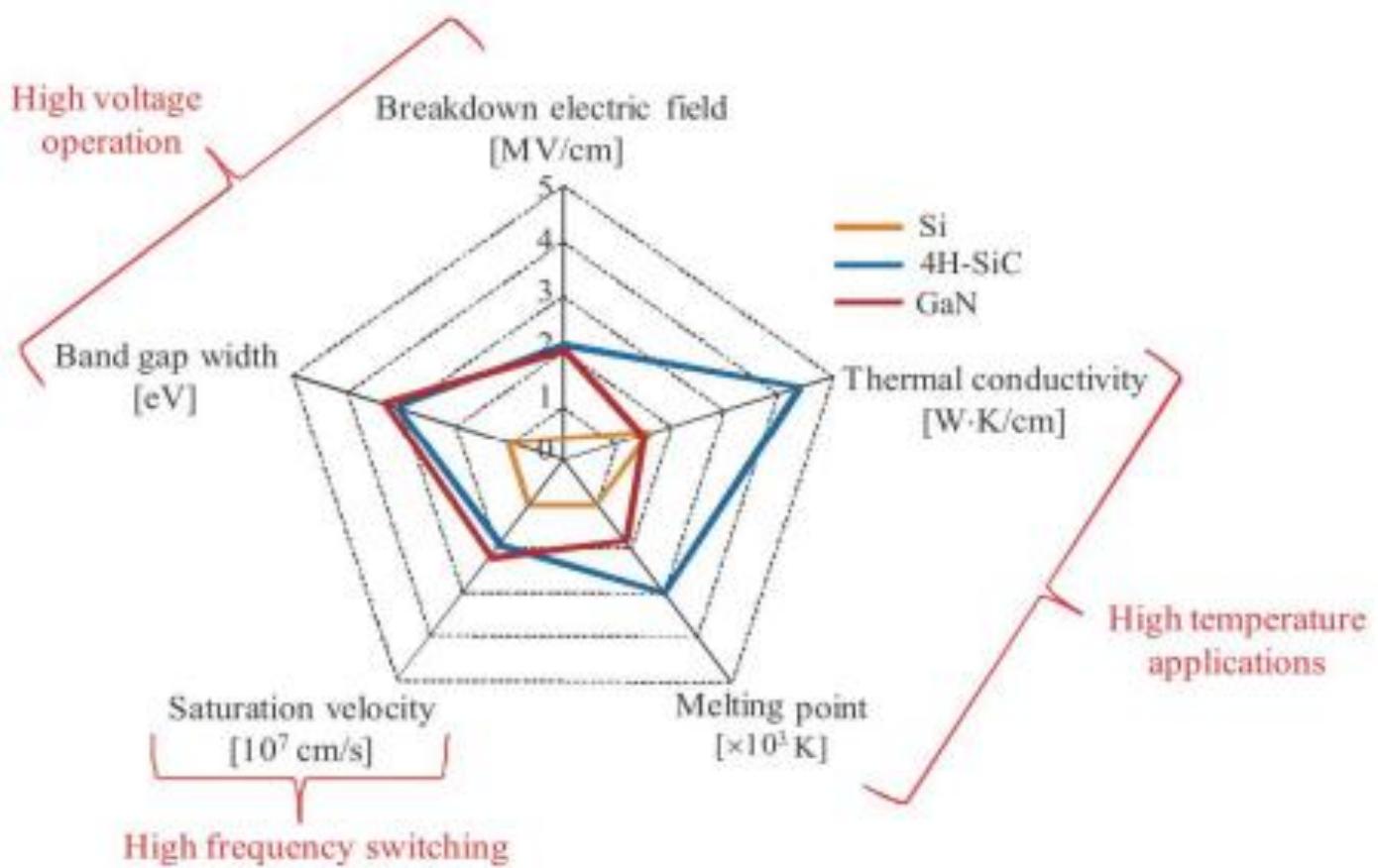


LO  
phonon  
emission

GaN



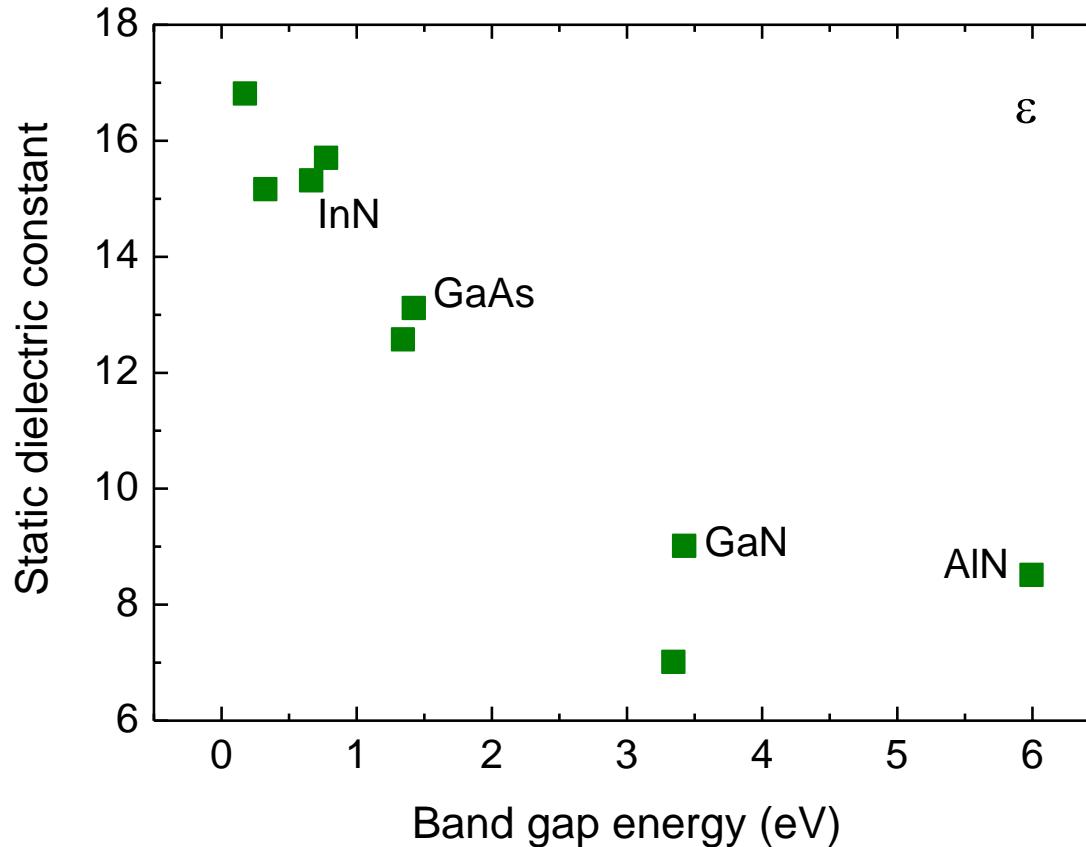
# Semiconductors for electronics



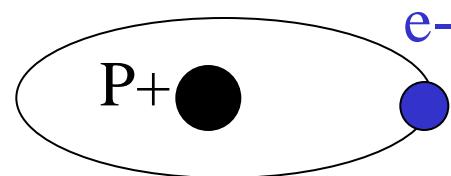
# Static dielectric constant

Shorter bonds, less polarizable by a constant external field :

⇒ small dielectric constant



# Hydrogenoid model

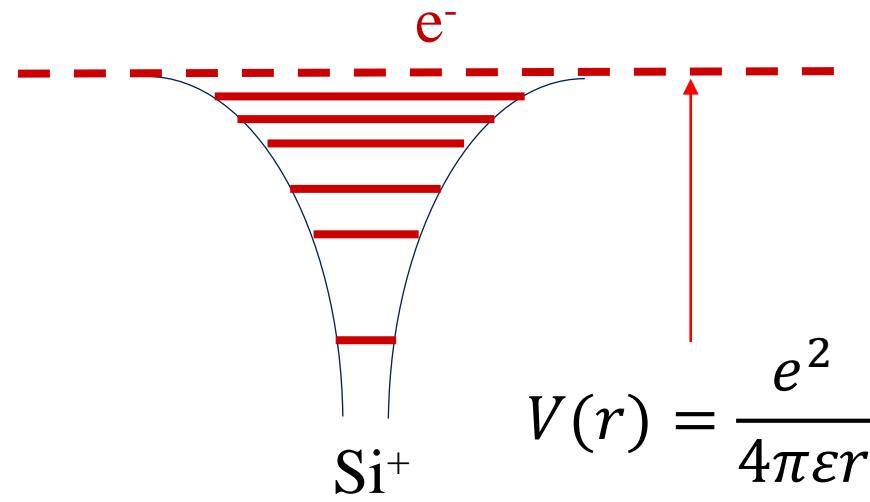


$$1/\mu = 1/m_e + 1/m_p$$

$$E = \frac{\mu e^4}{32\pi^2 \hbar^2 \epsilon_r^2} = \frac{\mu}{m_0} \frac{1}{\epsilon_r^2} R_y$$

$$\text{with } R_y = 13.6 \text{ eV}$$

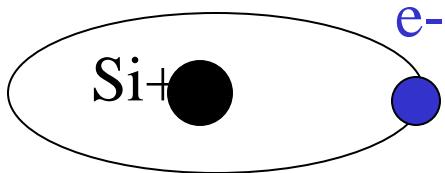
Static relative dielectric constant



$$V(r) = \frac{e^2}{4\pi\epsilon r}$$

NB: Hydrogenoid model accurate only for large Bohr radii ( $r > 2 \times \text{cell}$ ) i.e. small energies (  $E < 50 \text{ meV}$  )

# Donors



$$E = \frac{m_e}{m_0} \frac{1}{\epsilon_r^2} R_y$$

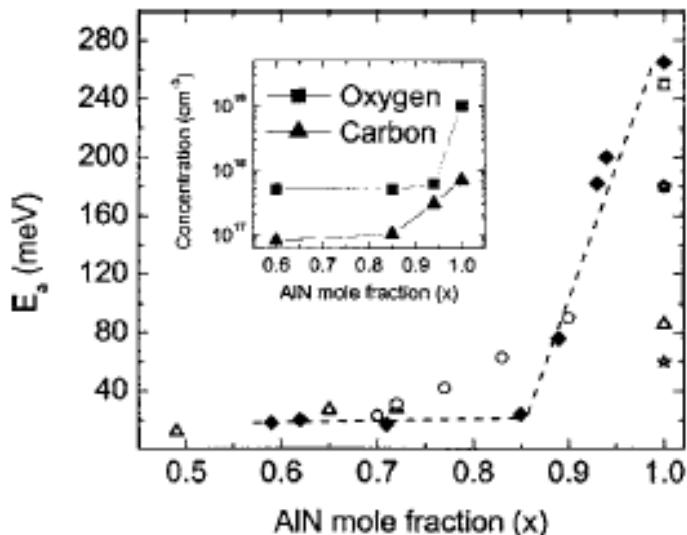
Large donor energies are expected in nitrides (37 meV)

$$1/\mu = 1/m_e + 1/m_{Si} \approx 1/m_e$$

O : shallow donor in GaN (26 meV) but deep level in AlGaN  
 Si: shallow donor in GaN (25 meV), deeper in AlGaN

	GaAs	GaN	AlAs	AlN
$E_{Si}$ measured (meV)	5.8	25	70	250/60

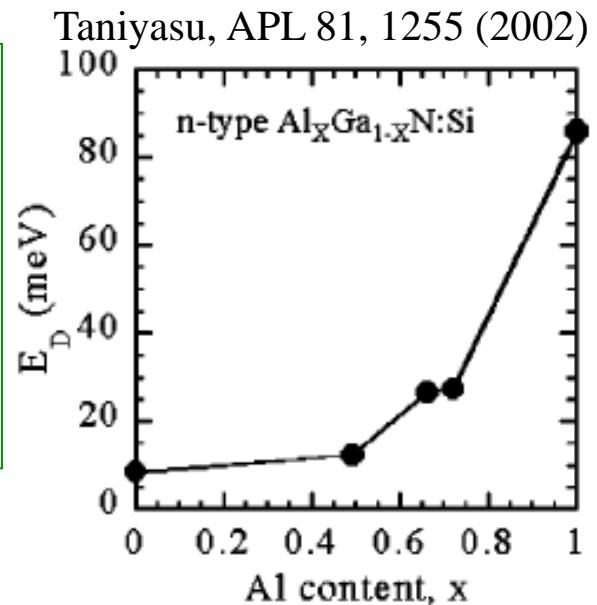
B. Borisov, APL 87, 132106 (2005)



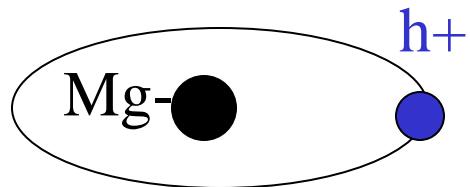
Donor energy renormalized at high concentration

$$E = E_0 - \beta n^{1/3}$$

$$\beta = 10^{-8} \text{ eV/cm}$$



# Acceptors



$$E = \frac{m_e}{m_0} \frac{1}{\epsilon_r^2} R_y$$

$$1/\mu = 1/m_h + 1/m_{Mg} \approx 1/m_h$$

Very large acceptor energies are expected in nitrides (168 meV with  $m_h=1$ ): not hydrogenoid !

$$E_{Mg} = 220 \text{ meV}$$

⇒ Low ionization ratio

⇒ Large Mg densities necessary:  $5 \times 10^{19} \text{ cm}^{-3}$  for  $p = 5 \times 10^{17} \text{ cm}^{-3}$

# Doping in semiconductors

	GaN	SiC	ZnO	Diamond	GaAs	Si
n-type dopant	Si on Ga site (~ 15 meV)	N on C site (~ 85 meV)	B on Zn (~30-60 meV)	N (~ 1.7 eV)		Si 6meV
p-type dopant	Mg on Ga site (160 meV) Zn ~ 340 meV	Al on Si site (~ 200 meV)	N on O site (~ 170-200 meV)	B (~ 370 meV)	C (Be) ~28 meV	P 45meV
n-conductivity*	~ 0.002 Ωcm	~ 0.01 Ωcm	~ 0.02 Ωcm	> 1000 Ωcm		B 40meV
p-conductivity*	0.2-2 Ωcm	0.5-2 Ωcm	0.5-40 Ωcm	10-100 Ωcm		~ 0.001 Ωcm

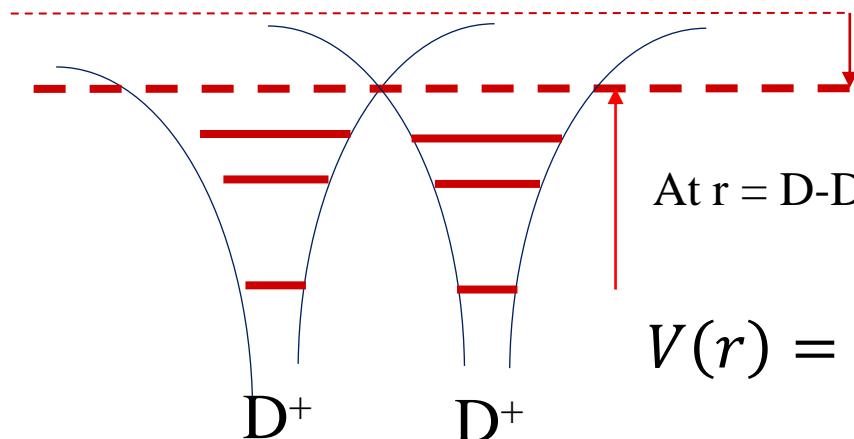
\* Experimental values

Variation of donor and acceptor  
energy among samples, with  
doping.

Renormalization, screening...of  
the binding energy ?

What are we talking about ?

# Activation energy of donors



At  $r = D-D$  distance =  $[D]^{-1/3}$

$$V(r) = \frac{e^2}{4\pi\epsilon r} = \frac{e^2[D]^{1/3}}{4\pi\epsilon}$$

$E_D$  is decreased by  $\beta[D]^{1/3}$  with

$$\beta = \frac{e^2}{4\pi\epsilon} = 1.6 \times 10^{-8} eVcm$$

Rigorous calculation should include the shift of the 1s state but finally leads to the same result !

Experiments:

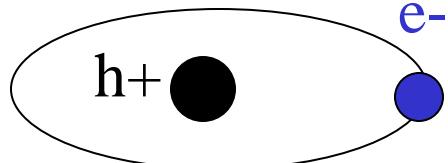
$\beta=1.6\times10^{-8}$  eVcm on Ge [A Ajay et al 2016 *J. Phys. D: Appl. Phys.* **49** 445301],  
 $\beta=2\times10^{-8}$  eVcm on Si [B. Meyer et al, Solid State Com, 95, 9, 597-600 (1995)]

Examples:

GaN:  $[Si]=3\times10^{17}$  cm $^{-3}$ ,  $E_D=25-1.6\times10^{-5}\times(3\times10^{17})^{1/3}=25-11=14$  meV

Al<sub>0.7</sub>Ga<sub>0.3</sub>N,  $[Si]=5\times10^{19}$  cm $^{-3}$ ,  $E_D=60-1.6\times10^{-5}\times(5\times10^{19})^{1/3}=60-58=2$  meV

When  $E_D=0$ , Mott transition towards metallic behavior



$$1/\mu = 1/m_e + 1/m_h$$

$$E = \frac{\mu}{m_0} \frac{1}{\epsilon_r^2} R_y$$

$$E \propto \frac{E_{gap}}{\epsilon_r^2}$$

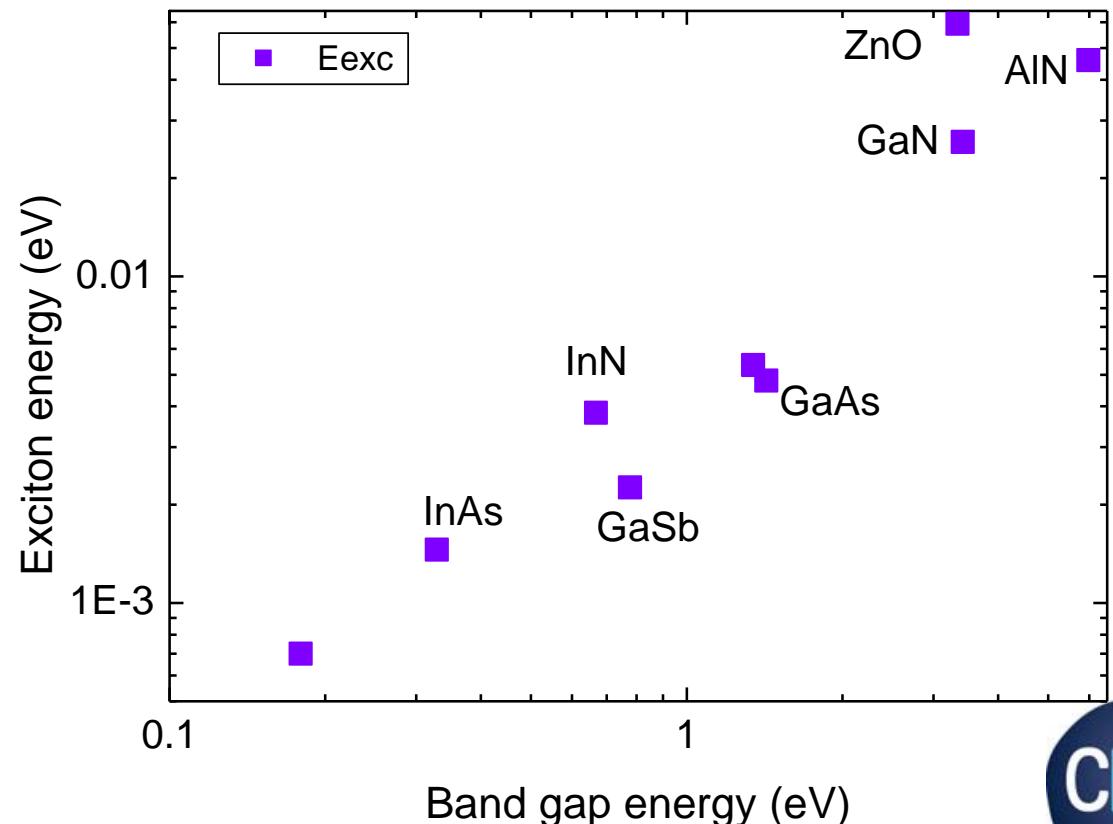
Decreases with gap

$$E \propto (E_{Gap})^n$$

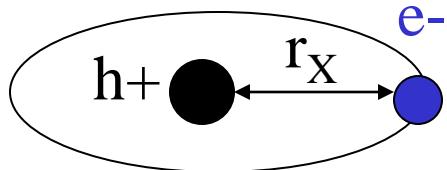
with  $n > 1$

# Excitons

$$E = \frac{\mu}{m_0} \frac{1}{\epsilon_r^2} R_y = 30 \text{ meV} \quad \text{expected}$$



# Excitons



$$E = \frac{e}{8\pi\epsilon r_X}$$

$$r_X = r_{Hydro} \frac{m}{\mu} \epsilon_r^2$$

$$E_x (\text{GaN}) = 26 \text{ meV} \approx kT @ 300K$$

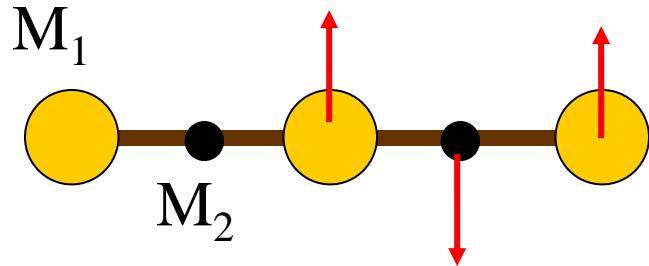
$\Rightarrow$  Exciton stable at room temperature

$r_X$  small  $\Rightarrow$  exciton stable at high density

	Bohr radius	Mott density
GaAs	11.6nm	$3-12 \times 10^{16} \text{ cm}^{-3}$
GaN	2.8nm	$2 \times 10^{18} \text{ cm}^{-3}$

Absorption and emission in nitrides are dominated by excitons (A,B,C)

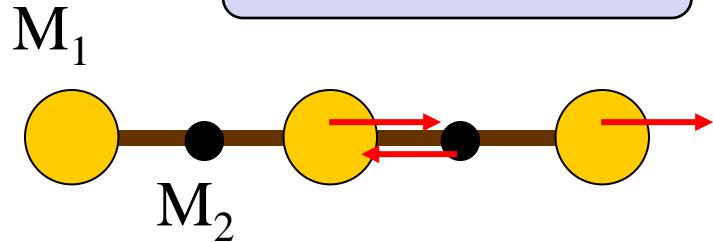
# Phonons



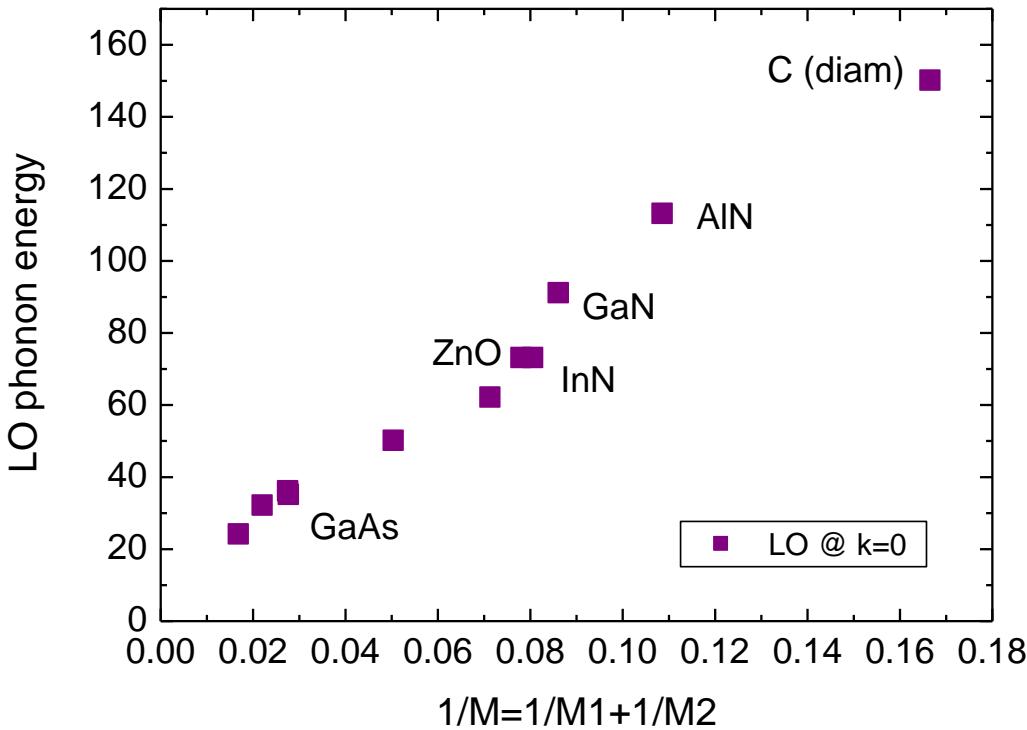
TO- Transverse optic

$$\omega^2 = \frac{2C}{M}$$

$$\frac{1}{M} = \frac{1}{M_1} + \frac{1}{M_2}$$



LO- Longitudinal optic



M is small in nitrides  $\Rightarrow \omega$  is large  
 $E_{LO}=91$  meV,  $E_{TO}=70$  meV in GaN

# Phonons and dielectric constant

$$\omega_{LO} = 91 \text{ meV}$$

$$\omega_{TO} = 70 \text{ meV}$$

$$\left(\frac{\omega_{LO}}{\omega_{TO}}\right)^2 = 1.69$$

$$\varepsilon_{static} = 9$$

$$\varepsilon_{\infty} = n^2 = 2.3^2$$

$$\frac{\varepsilon_{static}}{\varepsilon_{\infty}} = 1.69$$

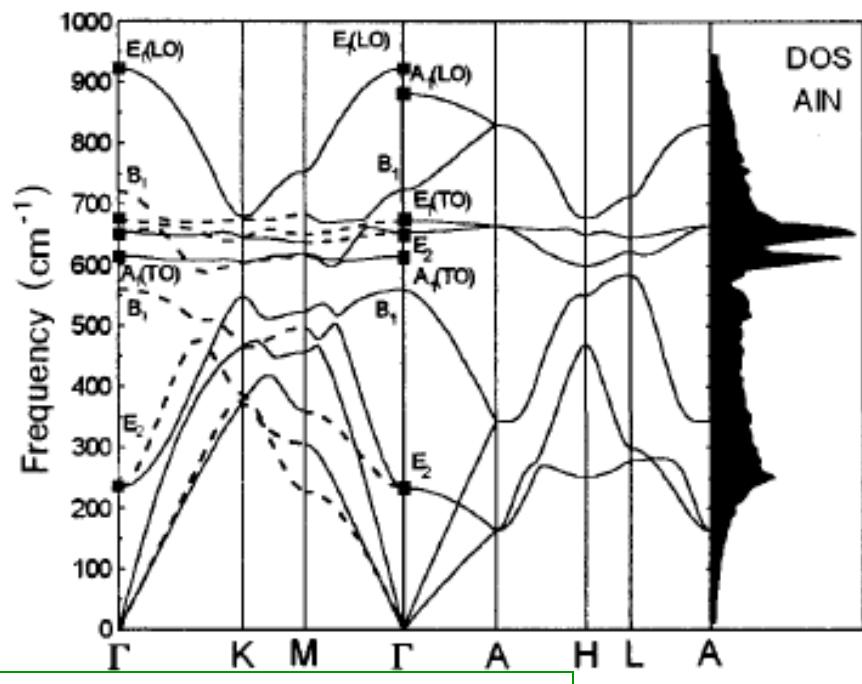
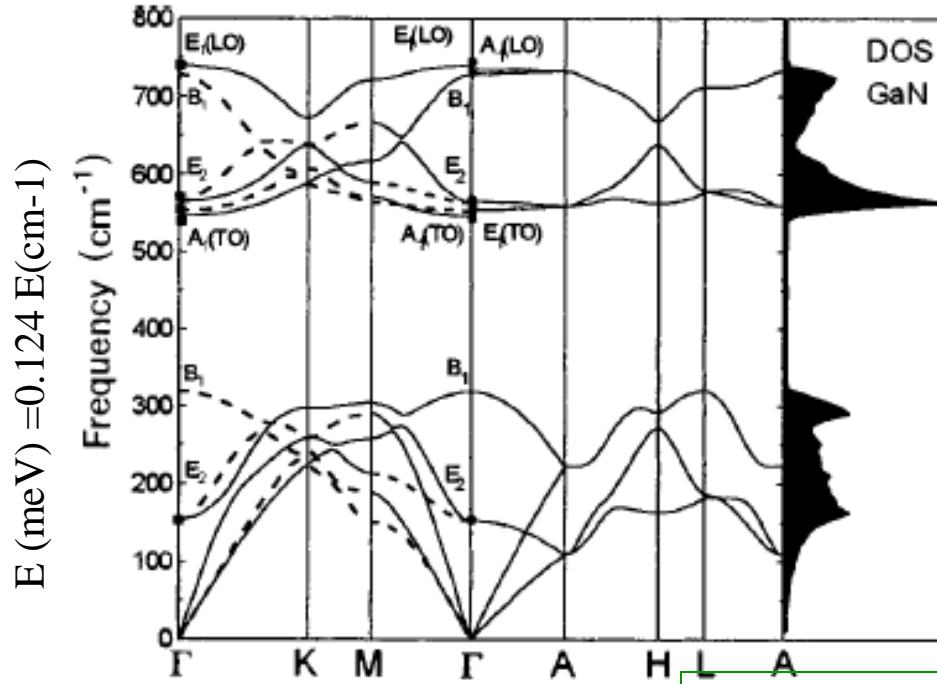
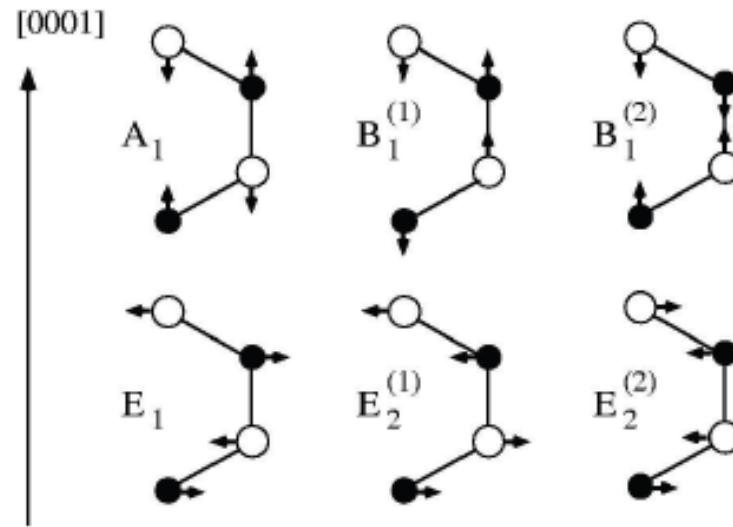
What is the difference between  $\varepsilon_{static}$  and  $\varepsilon_{\infty}$  ?

What a nice coincidence !!!??

Lyddane–Sachs–Teller relation

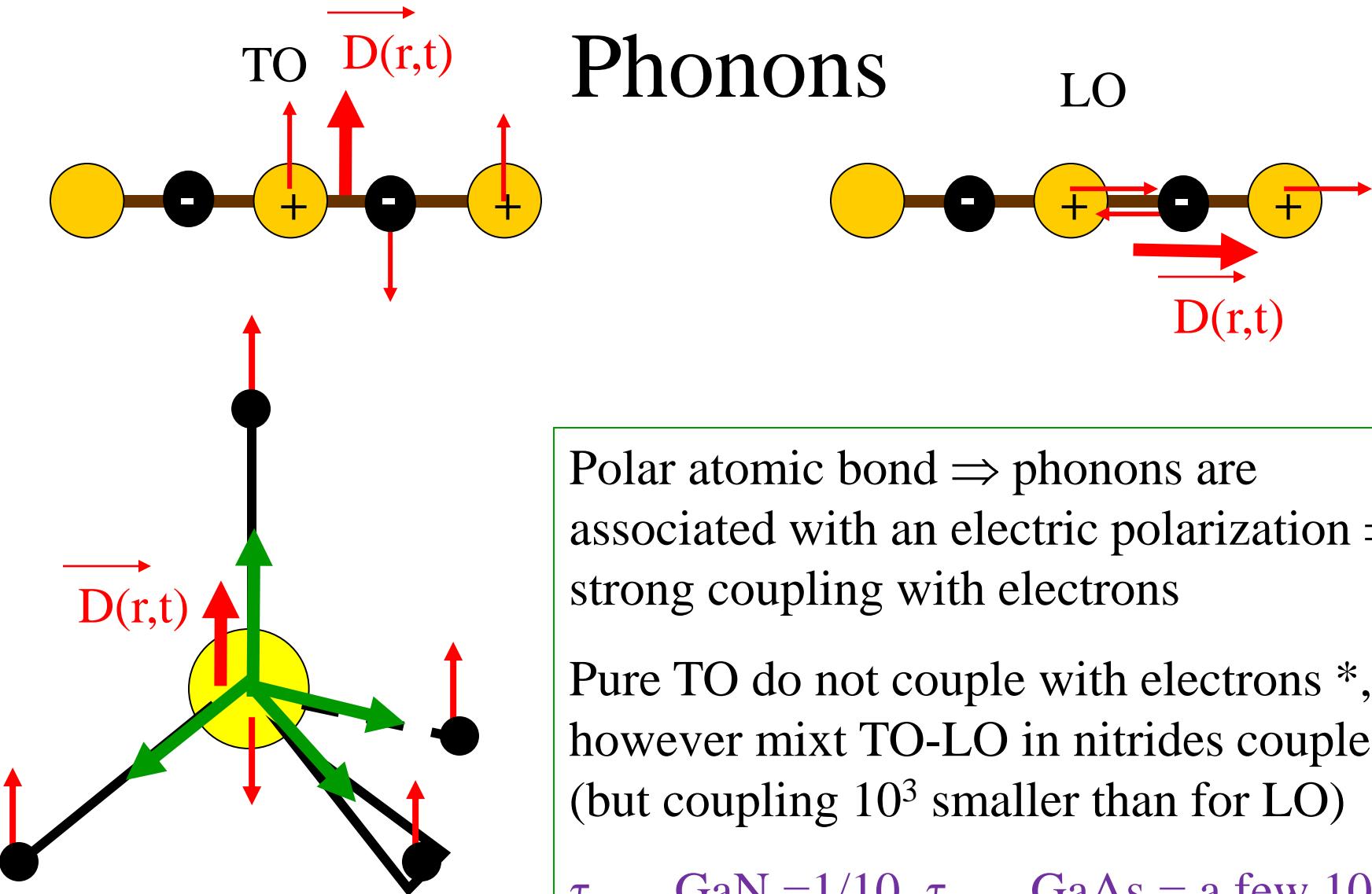
$$\left(\frac{\omega_{LO}}{\omega_{TO}}\right)^2 = \frac{\varepsilon_{static}}{\varepsilon_{\infty}}$$

# Phonons



Dispersion but  $E_{\text{LO}} \approx 91 \text{ meV}$   
Mixing LO-TO

# Phonons



# Summary

Most nitride properties can be estimated by rules of thumb or an educated guess, and compared with those of other SCs

- Wide band gap energy and large band offsets
- Chemical inertness and thermal stability
- Large effectives masses
- Low mobilities and large saturation velocities
- Excitonic effects and large oscillator strengths
- Ionic bonds and large internal fields
- Deep donors and acceptors
- High energy optical phonons strongly coupled with charged carriers